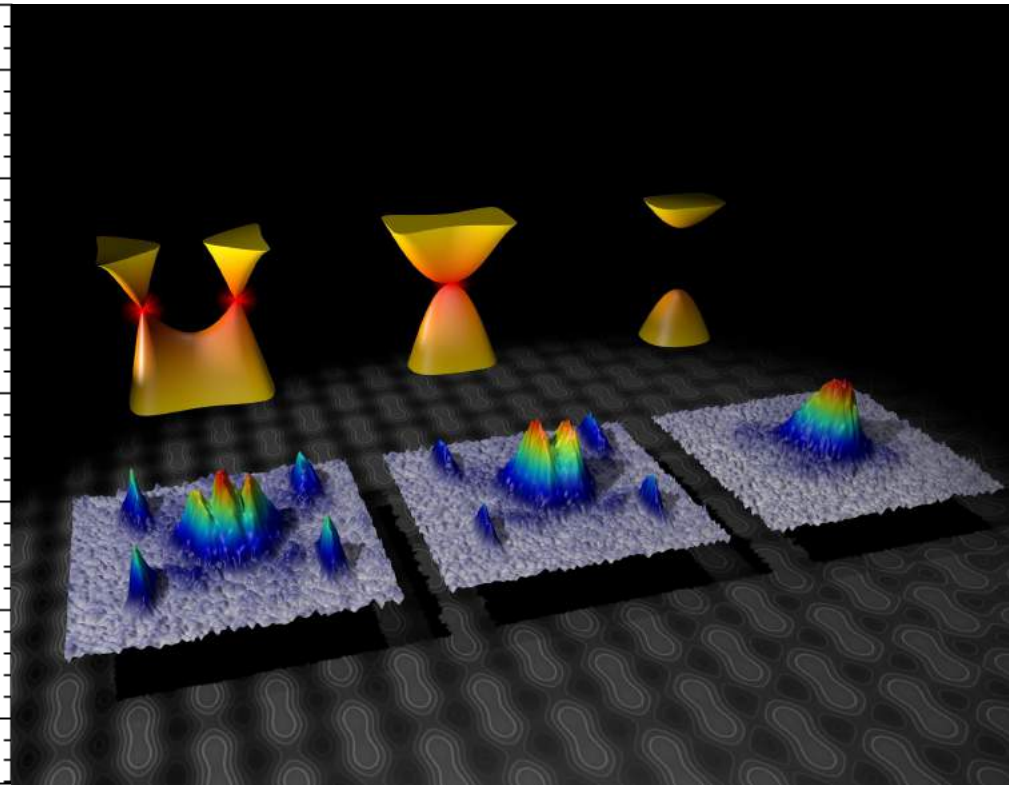
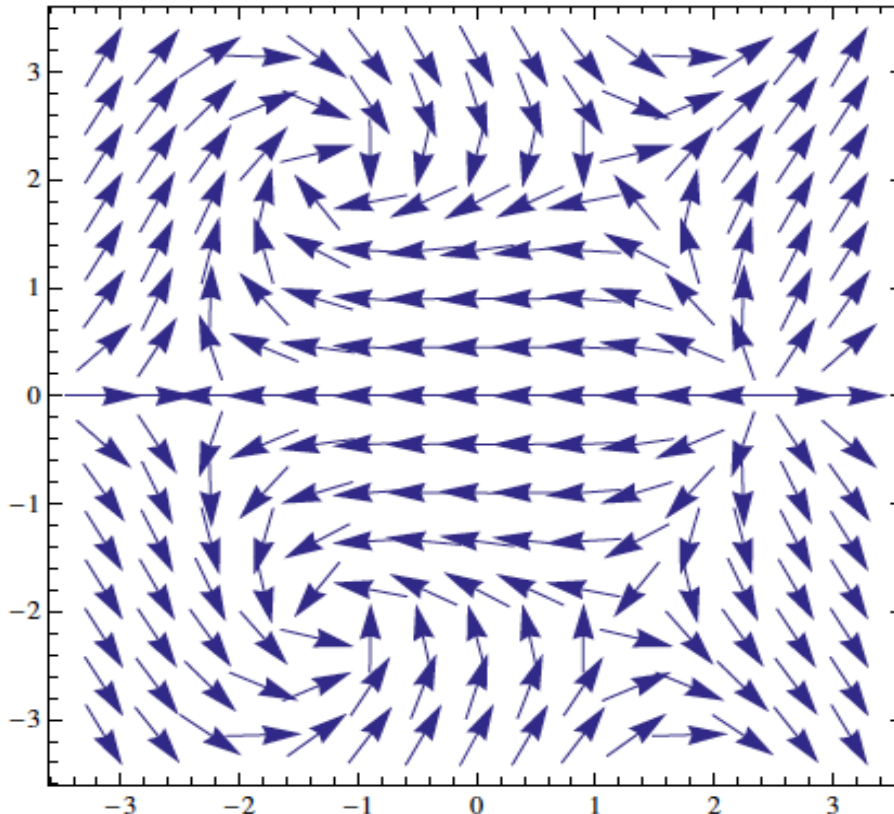


Dirac cones for cold atoms in an optical lattice

Jean-Noël Fuchs

CNRS, LPTMC (Paris) and LPS (Orsay)

With L.K. Lim, G. Montambaux, M.O. Goerbig and F. Piéchon



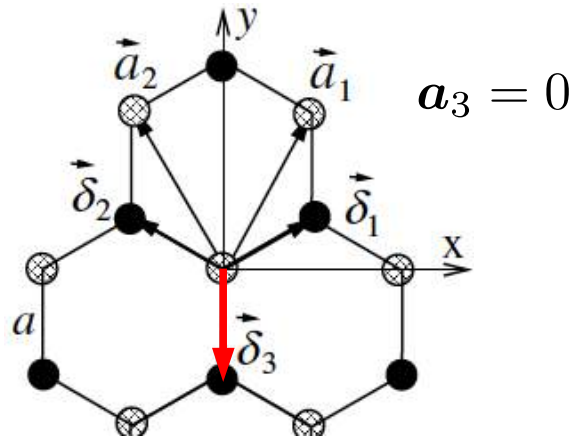
Outline

1) Lattice simulation of relativistic particles :
quantum particle in a periodic lattice
→ emergent Dirac or Weyl equation at low energy
e.g. electrons in a crystal (**graphene**)
Cold atoms in an optical lattice (honeycomb or brick-wall)

2) **Band contact points** (e.g. Dirac/Weyl point) as
topological defects

3) Probing these topological defects via Stückelberg
interferometry for cold atoms in optical lattices

Tight-binding model on honeycomb



Simplest tight-binding model of graphene
Wallace PR 1947



$$H(\mathbf{k})|u_{\mathbf{k}}\rangle = \varepsilon_{\mathbf{k}}|u_{\mathbf{k}}\rangle$$

$$|u_{\mathbf{k}}\rangle = \begin{pmatrix} u_{A\mathbf{k}} \\ u_{B\mathbf{k}} \end{pmatrix} \quad \text{Sub-lattice spinor (amplitude on A and B sites)}$$

$$H(\mathbf{k}) = \begin{pmatrix} 0 & f(\mathbf{k})^* \\ f(\mathbf{k}) & 0 \end{pmatrix} = |f|(\cos \phi \sigma_x + \sin \phi \sigma_y)$$

3 Phase = azimuthal angle along equator of Bloch sphere

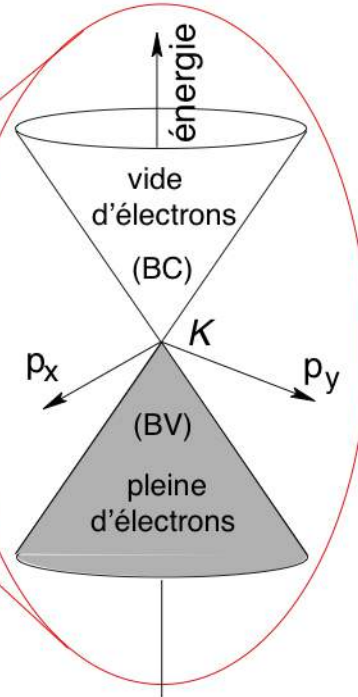
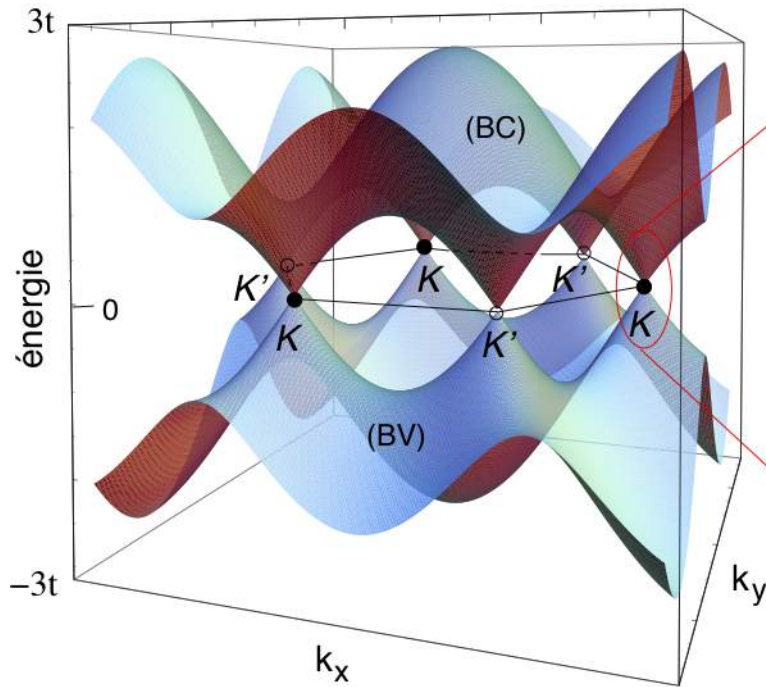
$$f(\mathbf{k}) = -t \sum_{j=1}^3 e^{-i\mathbf{k} \cdot \delta_j} = |f(\mathbf{k})| e^{i\phi(\mathbf{k})}$$

Modulus and phase

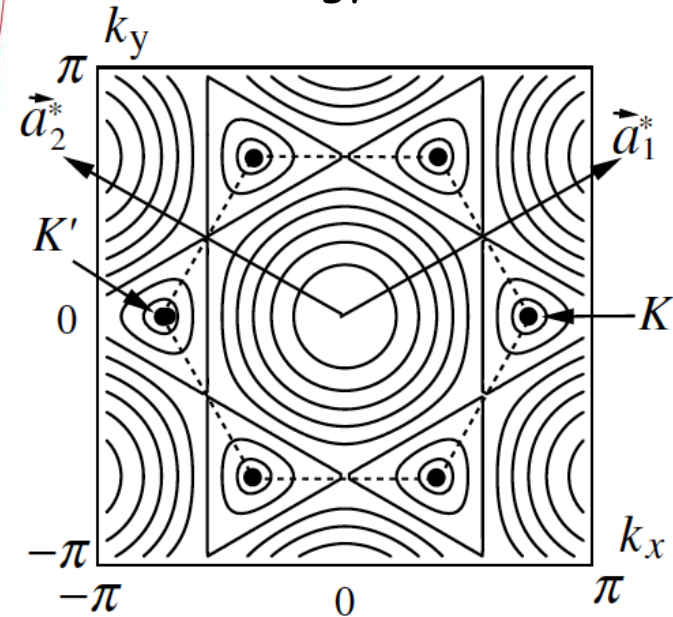
Modulus \rightarrow energy spectrum

$$\varepsilon_{\mathbf{k}} = \pm |f(\mathbf{k})| = \pm t \sqrt{3 + 2 \cos(\mathbf{k} \cdot \mathbf{a}_1) 2 \cos(\mathbf{k} \cdot \mathbf{a}_2) + 2 \cos(\mathbf{k} \cdot (\mathbf{a}_1 - \mathbf{a}_2))}$$

modulus



Hexagonal Brillouin zone
Iso-energy contours



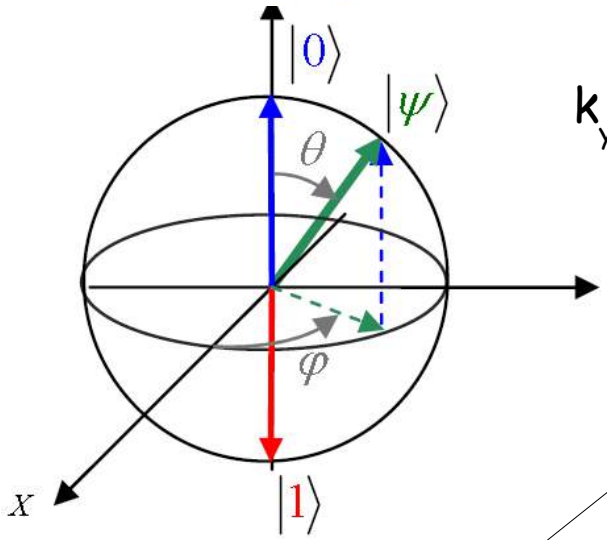
Phase \rightarrow eigenstate & quantized vortex

$$|u_{\mathbf{k}}\rangle = \begin{pmatrix} u_{A\mathbf{k}} \\ u_{B\mathbf{k}} \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ \pm e^{i\phi_{\mathbf{k}}} \end{pmatrix} \quad \text{Cell-periodic Bloch state (upper/lower band)}$$

Phase = azimuthal angle along equator of Bloch sphere

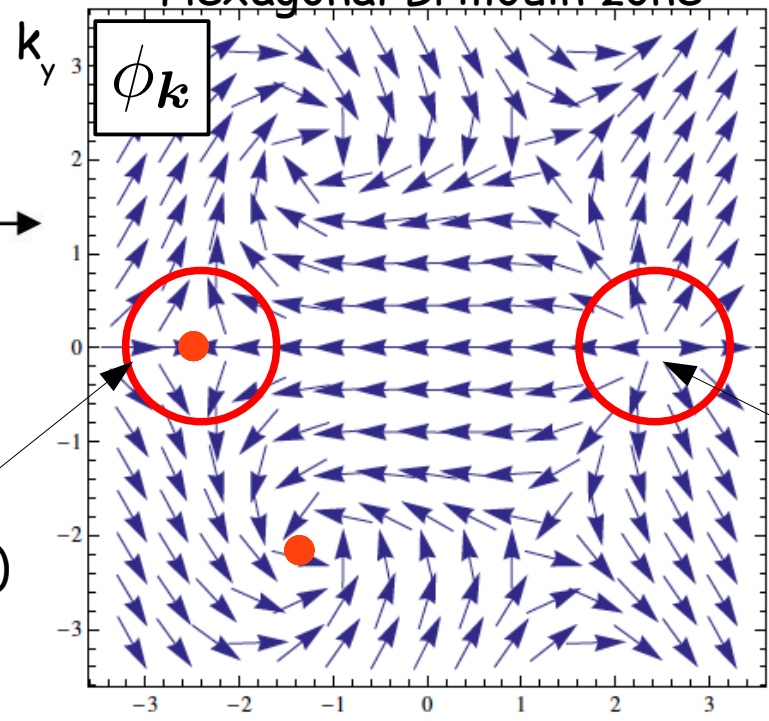
$$\Gamma(C) = \oint_C d\mathbf{k} \cdot i \langle u_{\mathbf{k}} | \nabla_{\mathbf{k}} u_{\mathbf{k}} \rangle = \frac{1}{2} \oint_C d\phi_{\mathbf{k}} = \pm\pi$$

Berry phase
(here quantized, winding number $\times \pi$)



-1 (anti-vortex)
K' point

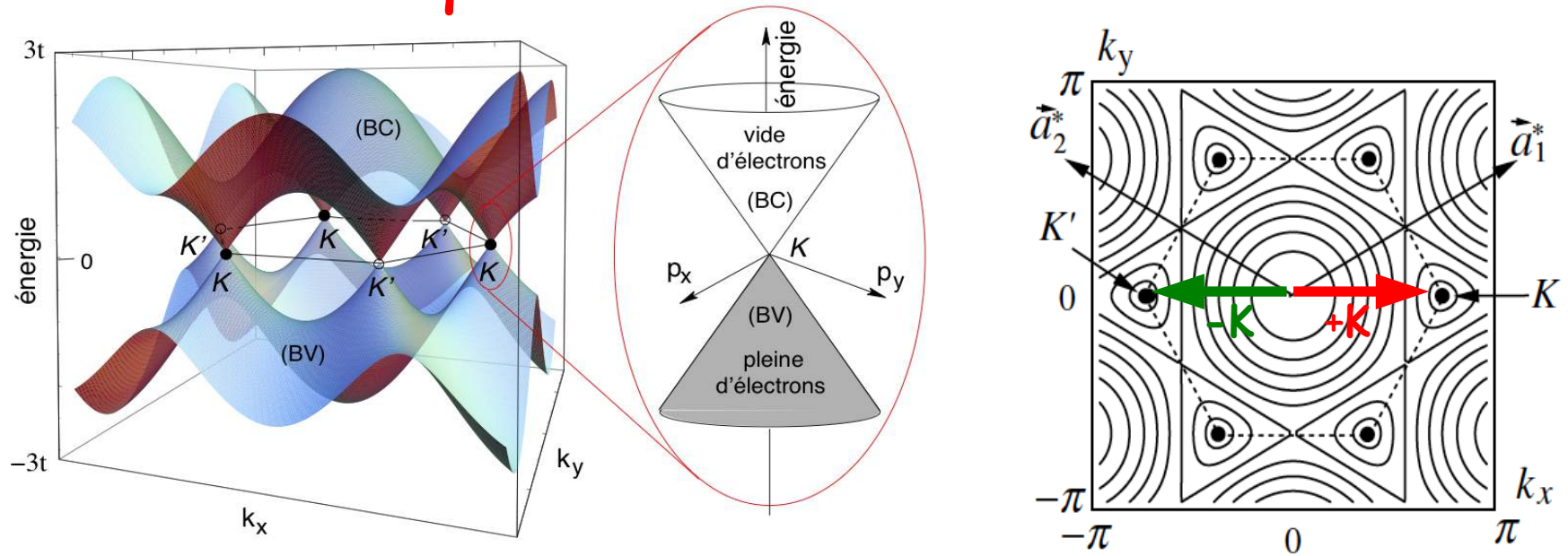
Hexagonal Brillouin zone



+1 (vortex)
K point



Dirac equation: 2+1 and massless



$\mathbf{k} = \xi \mathbf{K} + \mathbf{q}$ where $\xi = \pm 1$ is the valley index K/K'

$$H(\mathbf{k}) \approx H_{\xi}(\mathbf{q}) = \hbar v_F (\xi q_x \sigma_x + q_y \sigma_y) = \hbar v_F \begin{pmatrix} 0 & \xi q_x - i q_y \\ \xi q_x + i q_y & 0 \end{pmatrix}$$

$$v_F \equiv \frac{3 t a}{2 \hbar} \approx 10^6 \text{ m/s} \text{ is the Fermi velocity (density independent)}$$

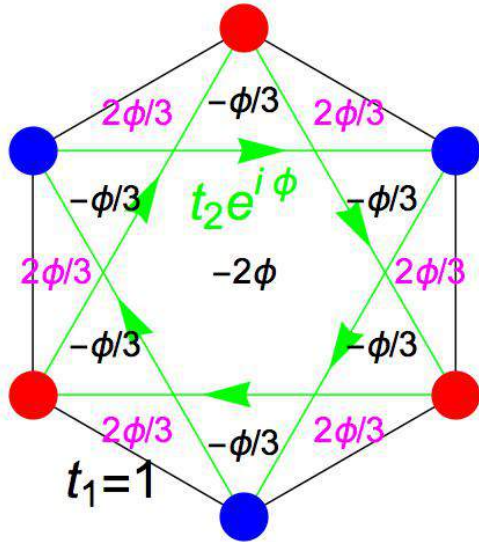
Pauli matrices in sublattice A/B sub-space

2D massless Dirac (Weyl) Hamiltonian in two copies (valley degeneracy)

Four copies if (real) spin is included [Semenoff; di Vincenzo & Mele 1984](#)

Haldane's model of Chern insulator

Spinless graphene with inhomog. B-field (broken TRS) within unit cell of honeycomb lattice + NNN hopping $t_2 e^{i\phi}$



$$H(\mathbf{k}) \approx H_G(\mathbf{k}) + \Delta_H(\mathbf{k})\sigma_z$$

$$\Delta_H(\mathbf{k}) = 2t_2 \sin \phi \sum_{j=1}^3 \sin(\mathbf{k} \cdot \mathbf{b}_j)$$

$$\Delta_H(K/K') = \pm t_2 3\sqrt{3} \sin \phi$$

Pair of massive Dirac fermions with opposite masses

$$H_H(\mathbf{q}) = (\tau_z q_x \sigma_x + q_y \sigma_y) 1_s + \Delta_H \tau_z \sigma_z \rightarrow \varepsilon = \pm \sqrt{q^2 + \Delta_H^2}$$

Gap/mass changes sign with valley

- Bulk energy spectrum similar to boron nitride

$$\text{Gap} = 2|\Delta_H| = 2t_2 3\sqrt{3} |\sin \phi|$$

- Berry curvature $\geq 0 \rightarrow \text{Chern} \neq 0 \rightarrow \text{QHE without LLs}$

- Edge energy spectrum ?

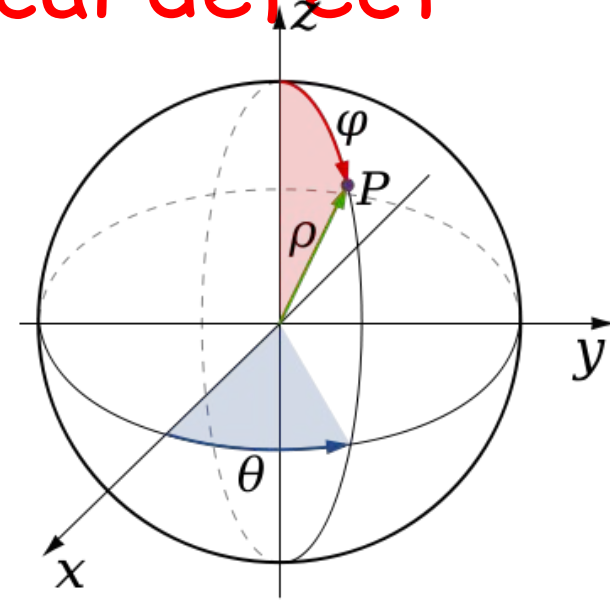
Band contact as topological defect

Band contact between two bands:

$$H(\mathbf{k}) = \epsilon_0(\mathbf{k})\sigma_0 + \vec{h}(\mathbf{k}) \cdot \vec{\sigma}$$

$$\epsilon_{\pm}(\mathbf{k}) = \epsilon_0(\mathbf{k}) \pm |\vec{h}(\mathbf{k})|$$

$$\vec{h}(\mathbf{k}) = |\vec{h}(\mathbf{k})|\vec{n}(\mathbf{k}) \quad \text{Bloch sphere } S^2$$



Band contact : $|\vec{h}(\mathbf{k})| = 0 \rightarrow 3$ constraints (3 Pauli matrices)

$$h_j(\mathbf{k}) = 0, \quad j = 1, 2, 3 = x, y, z$$

How many independent parameters?

Band contact as topological defect

D=3 → 3 k parameters → stable contact : Weyl point

D=2 → 2 k parameters → unstable contact

D=2 with symmetry constraint (such as T and I or chiral S)

→ only 2 Pauli matrices (motion on equator S^1)

→ stable contact: Dirac points in graphene

Homotopy groups:

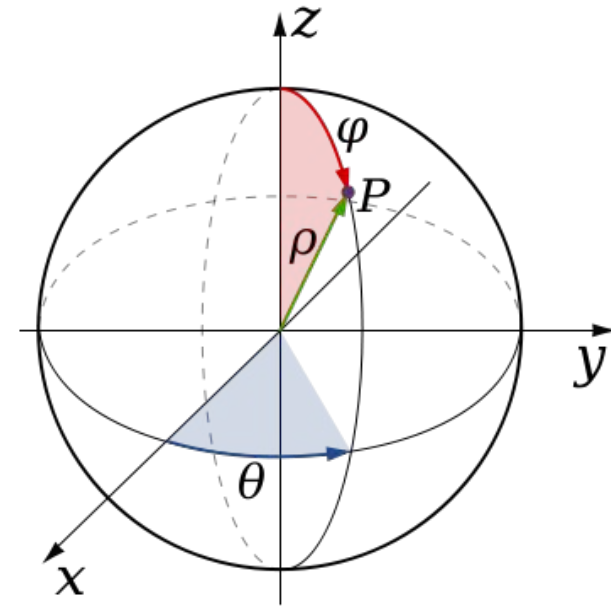
Encircling of the defect: S^{D-1}

Target space: Bloch sphere S^2 or equator S^1

$S^2 \rightarrow S^2$ $\Pi_2(S^2) = \mathbb{Z}$ Wrapping number

$S^1 \rightarrow S^2$ $\Pi_1(S^2) = 0$ Cannot lasso a basketball

$S^1 \rightarrow S^1$ $\Pi_1(S^1) = \mathbb{Z}$ Winding number



Band contact as topological defect

2D Dirac points : linear band contact points of winding $W=\pm 1$
(Berry phase = π)

Dirac points appear in pairs of opposite winding (chirality)
Fermion doubling (Nielsen-Ninomiya theorem)

Motion on an equator (great circle) of Bloch sphere
→ single angle $\phi(\mathbf{k})$
Quantized vortices (vorticity = winding number)

Other band contacts exist in 2D :

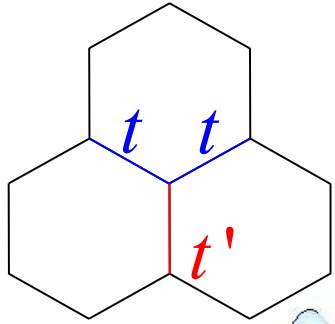
Semi-Dirac (linear-quadratic) $W=0$ (Berry phase = 0)

Quadratic band contact $W=0$ (Berry phase = 0)

Quadratic band contact $W=\pm 2$ (Berry phase = 0)

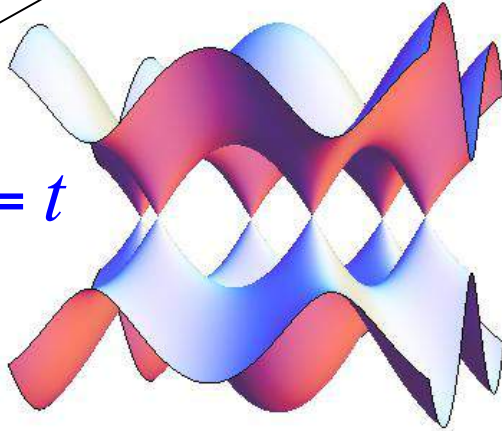
Conservation of total winding number during annihilation/creation
of topological defects

Merging transition



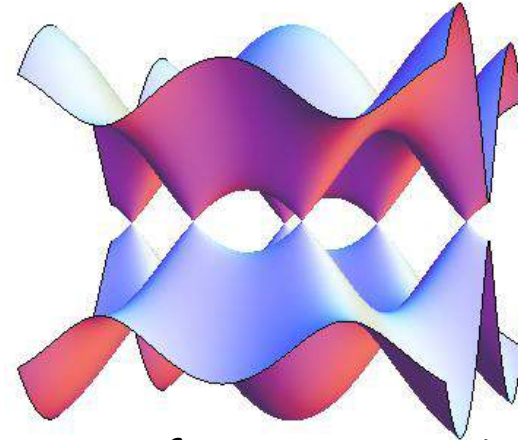
$$\varepsilon = \pm |t' + te^{i\vec{k}\cdot\vec{a}_1} + te^{i\vec{k}\cdot\vec{a}_2}| \quad \mathbf{t-t' model}$$

$$t' = t$$



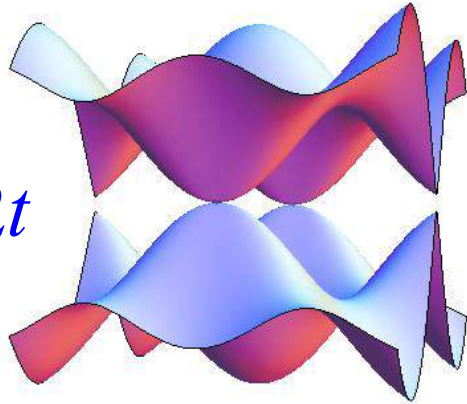
Undeformed graphene

$$t' = 1.5t$$



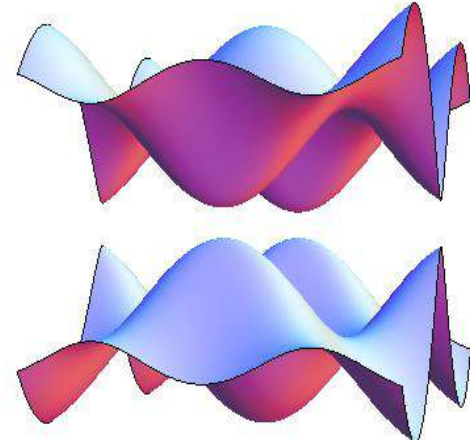
Before merging: Dirac cones

$$t' = 2t$$



Merging point: semi-Dirac

$$t' = 2.3t$$

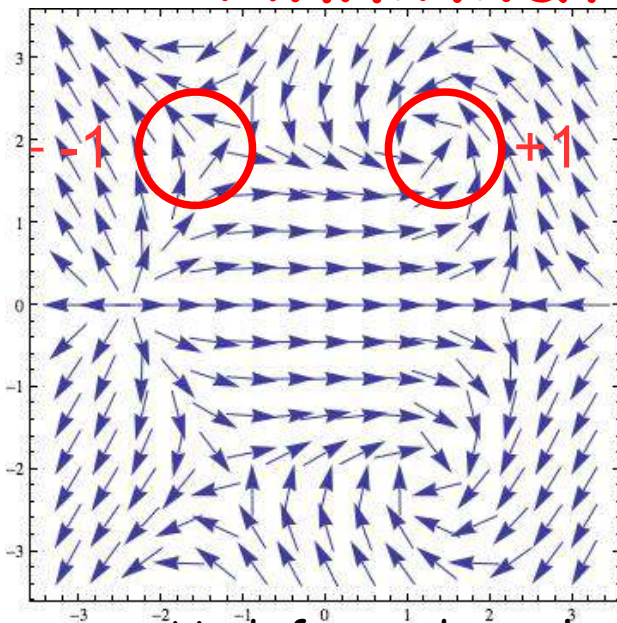


After merging: gapped

Lifshitz transition = change in topology of the Fermi surface

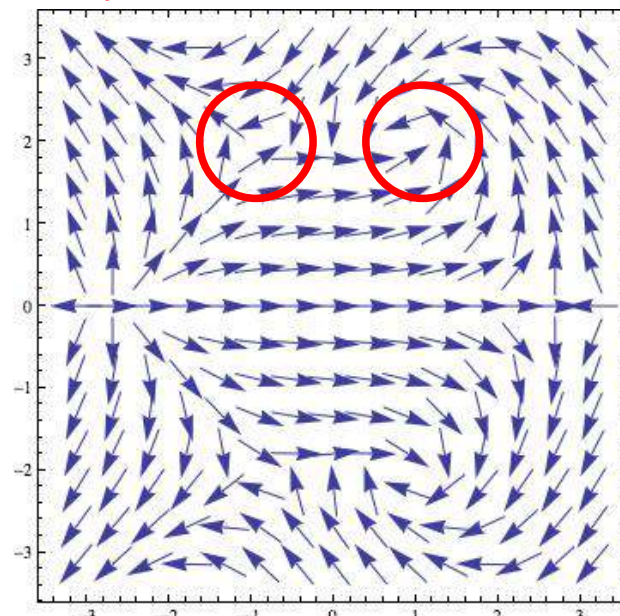
Annihilation of vortices

$t' = t$



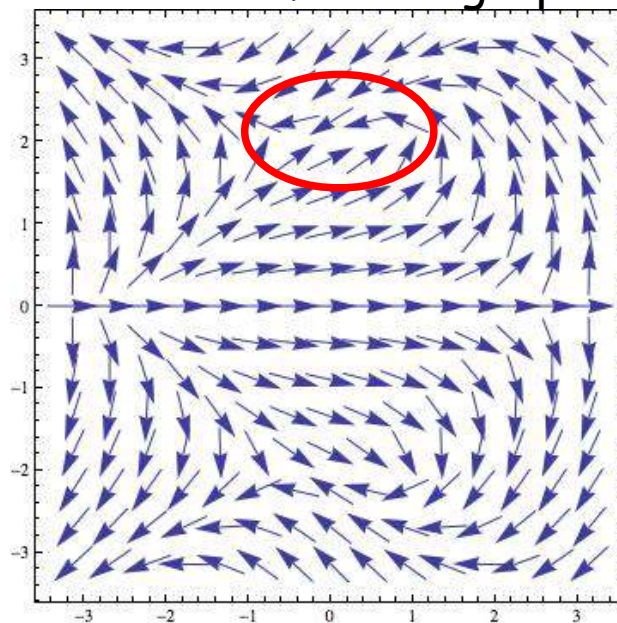
Undeformed graphene

$t' = 1.5t$



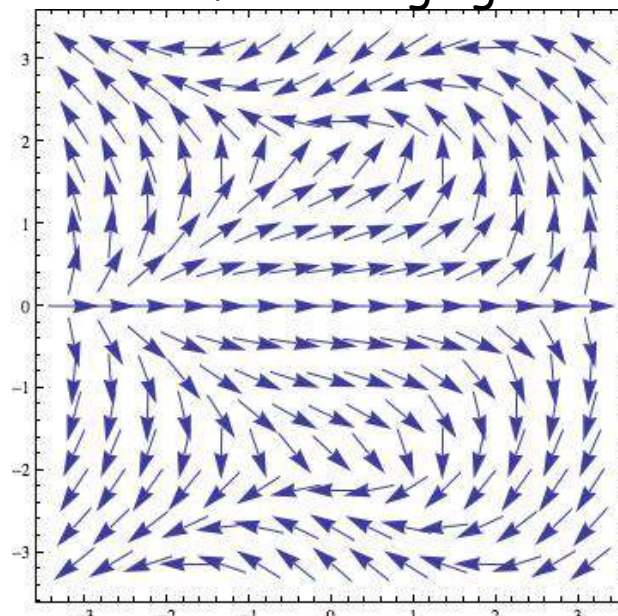
Before merging: Dirac cones

$t' = 2t$



Merging point: semi-Dirac

$t' = 2.3t$

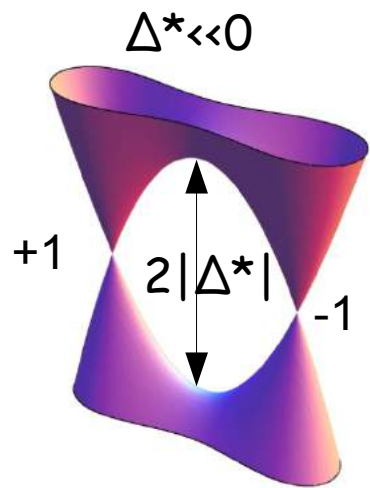


After merging: gapped

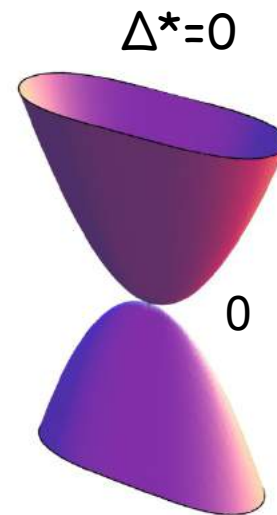
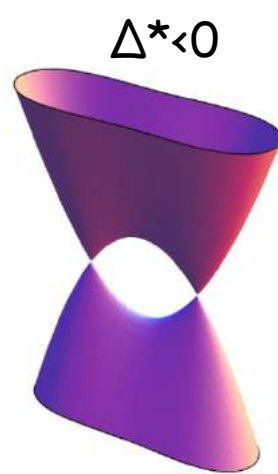
« Universal » Hamiltonian of +- merging

$$\mathcal{H}(\mathbf{q}) = \begin{pmatrix} 0 & \Delta^* + \frac{q_x^2}{2m^*} - ic_y q_y \\ \Delta^* + \frac{q_x^2}{2m^*} + ic_y q_y & 0 \end{pmatrix}$$

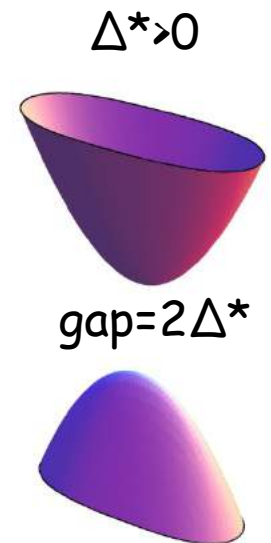
$$\varepsilon = \pm \sqrt{\left(\Delta^* + \frac{q_x^2}{2m^*}\right)^2 + (c_y q_y)^2}$$



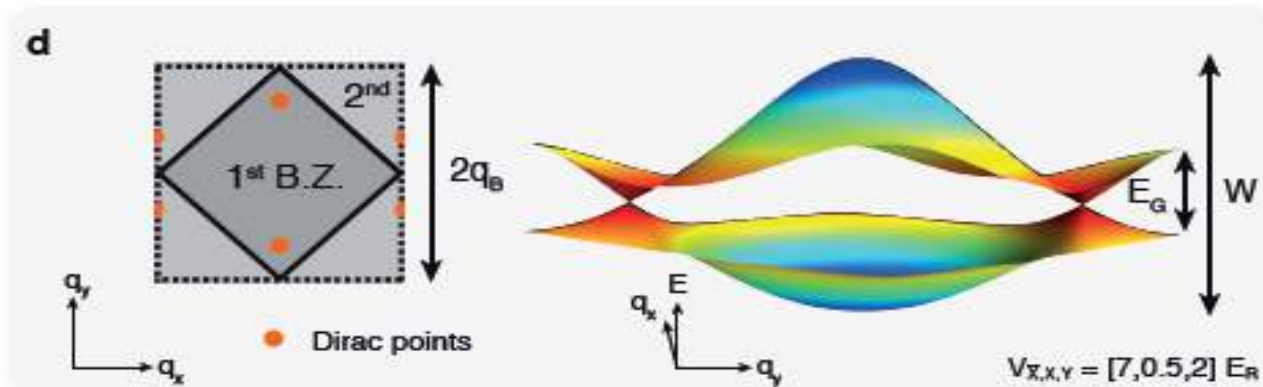
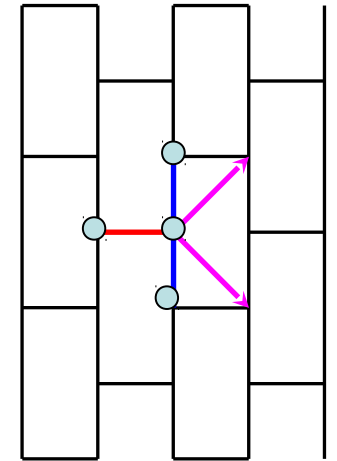
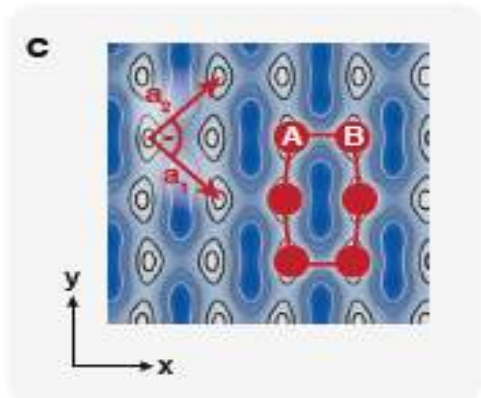
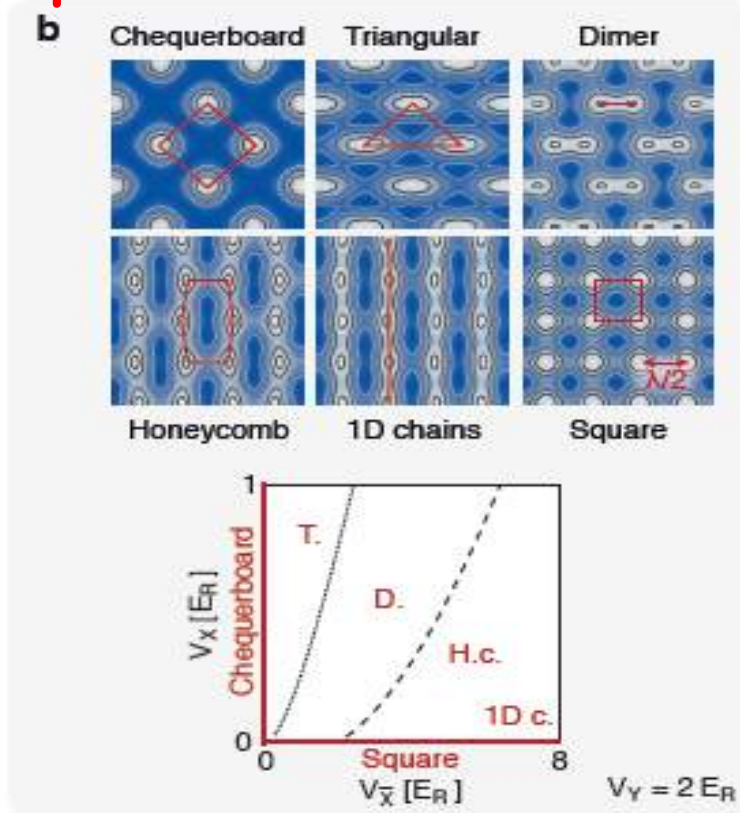
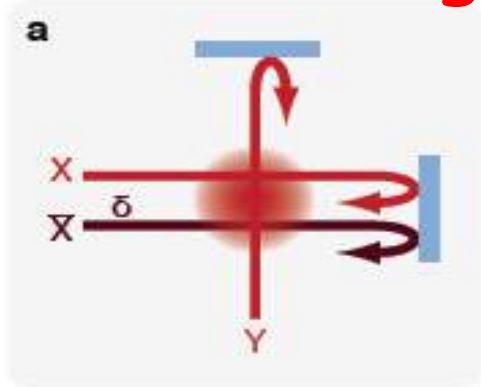
Pair of Dirac points
(+-)



semi-Dirac



Artificial graphene with cold atoms



Artificial graphene VS Real graphene

Fermionic atoms: spin polarized, neutral, no s-wave interaction

Tunable optical lattice
(honeycomb-like)

Band filling: number of atoms
Degenerate ideal Fermi gas ($T \sim 0.1 \epsilon_F$).

Inhomogeneous atomic density
(trapping potential)

No transport measurement, no ARPES: how to « see » the band structure?

Conduction electrons: spin $\frac{1}{2}$, Coulomb repulsion

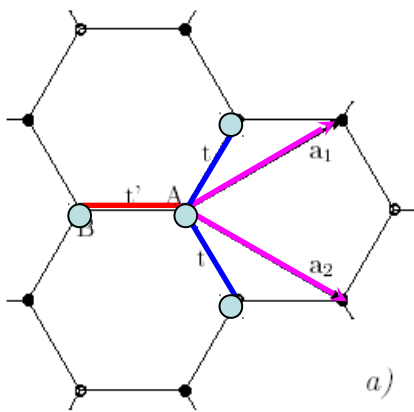
Carbon honeycomb lattice

Band filling: backgate controls chemical potential ϵ_F
2D degenerate Fermi liquid.

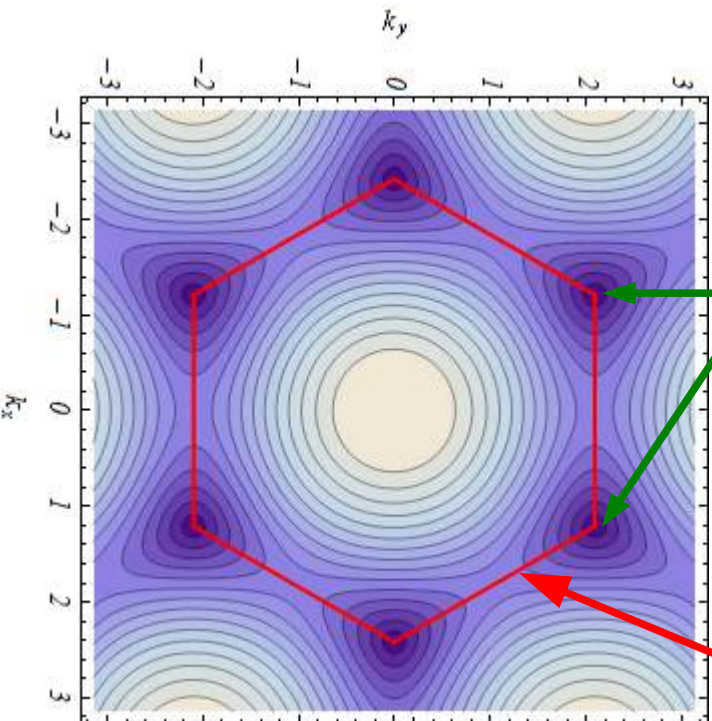
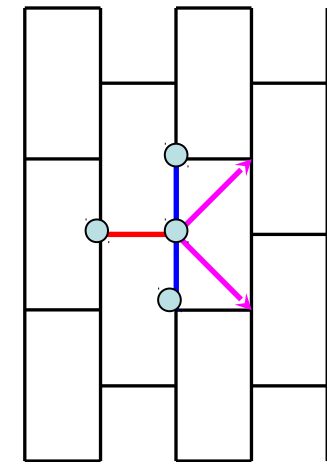
Homogeneous electronic density

Band structure probed directly by ARPES or indirectly in
▼ transport

Honeycomb VS brick wall : t-t' model

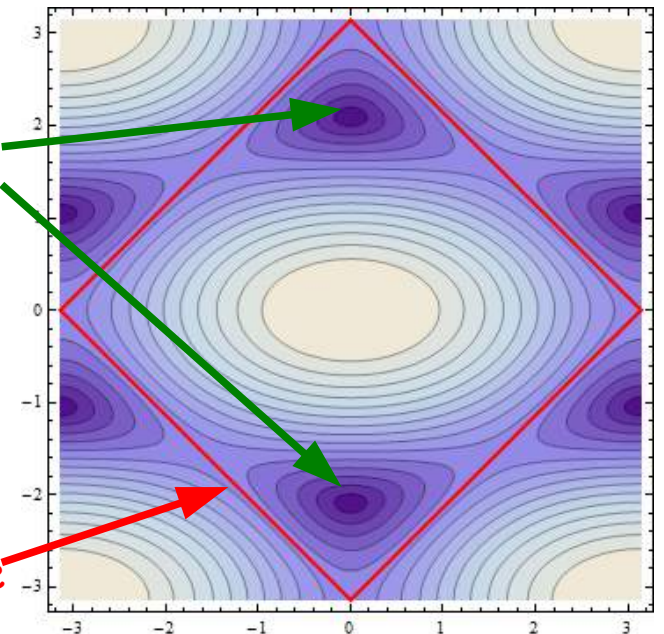


Real space:
 t hopping
 t' hopping
Bravais lattice vectors

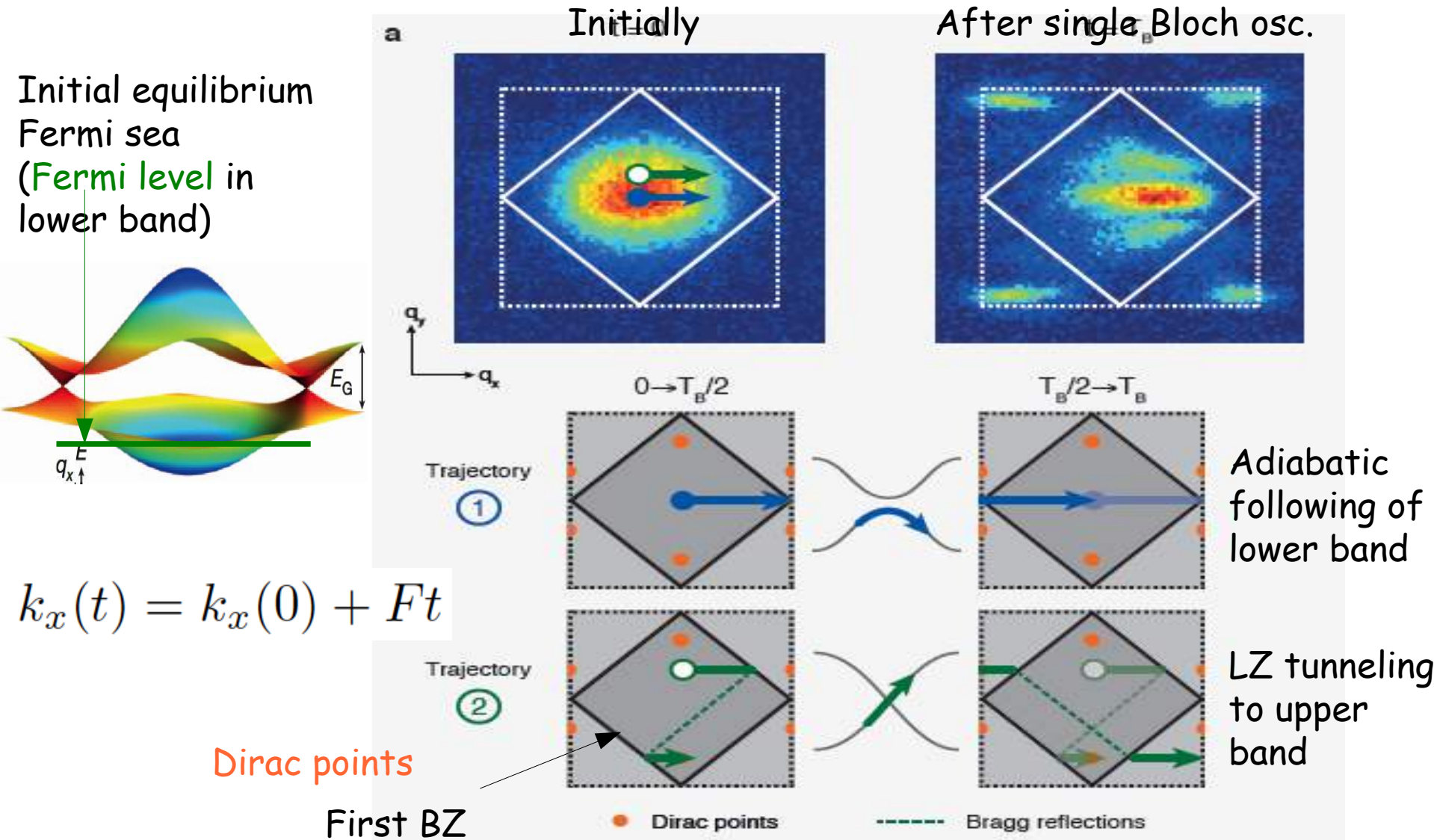


Reciprocal space:
Iso-energy lines
2 Dirac points

First Brillouin zone

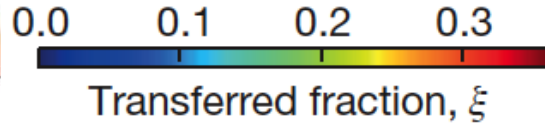
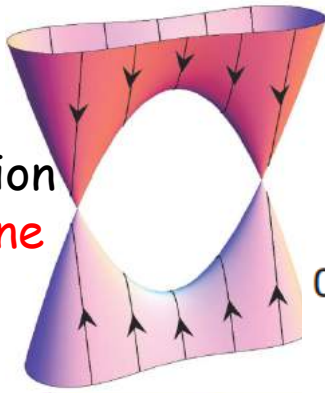


Bloch oscillation, Landau-Zener tunneling and band mapping technique

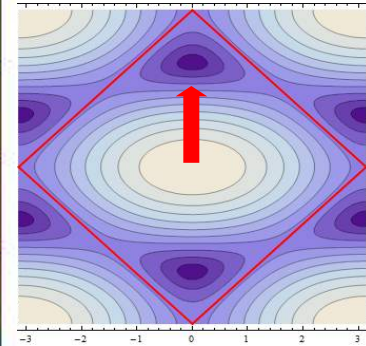
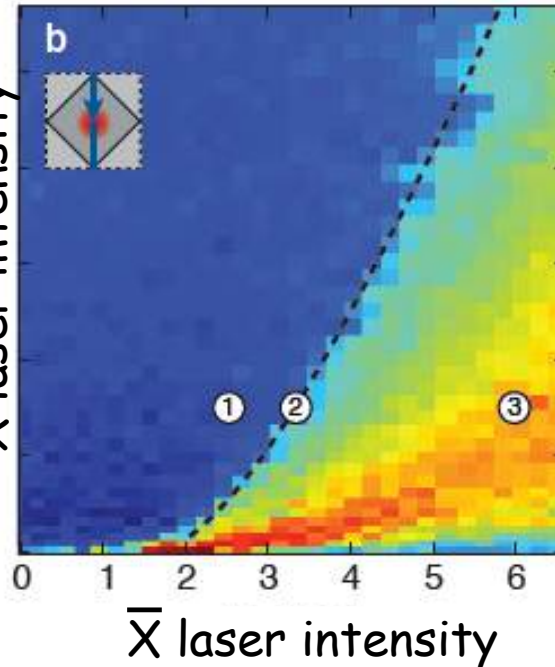
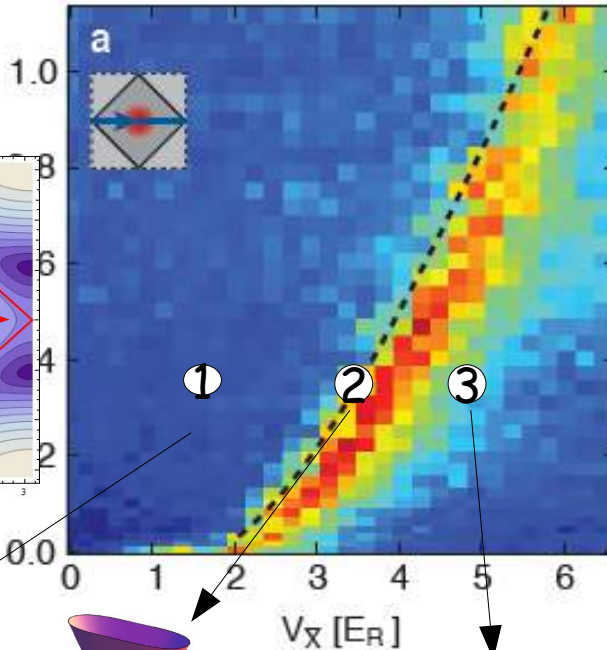
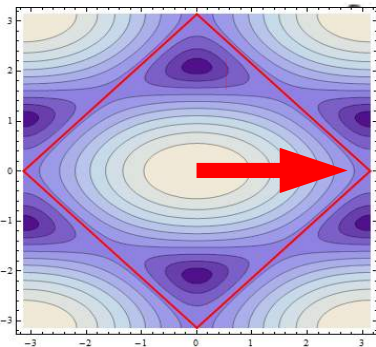
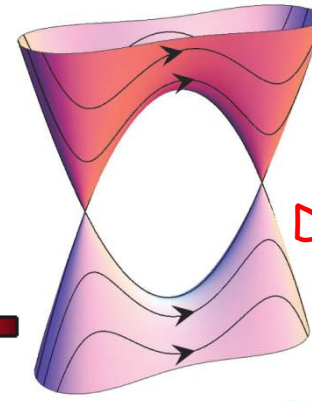


Transferred fraction after Bloch oscillation

Horizontal motion
Single Dirac cone
 « one cone at a time »



Vertical motion
Double Dirac cone
 «the two cones in succession»



1) gapped phase

2) merging transition

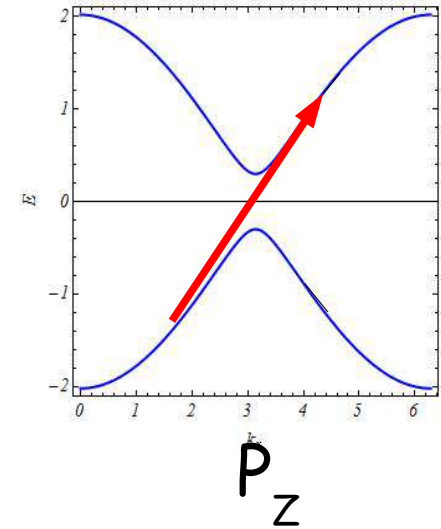
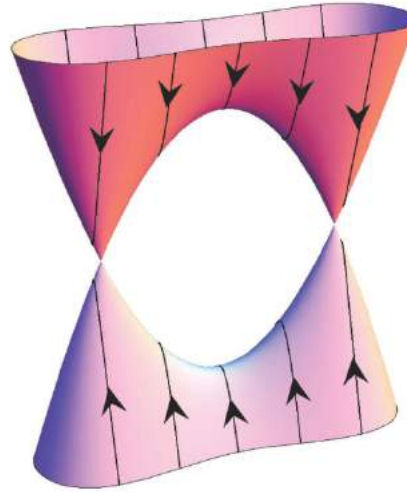
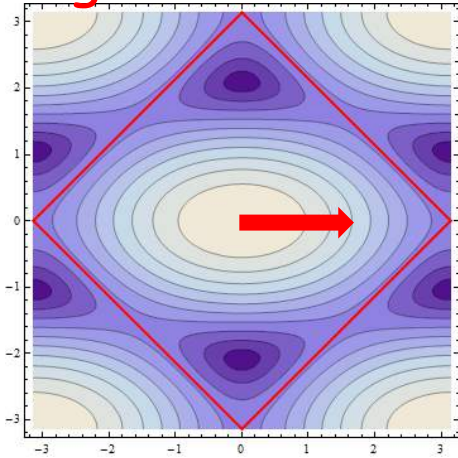
3) gapless Dirac phase

Band changing probability

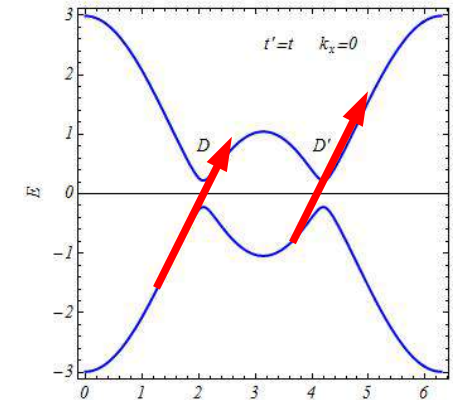
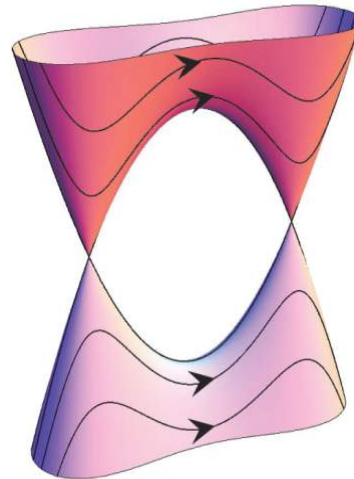
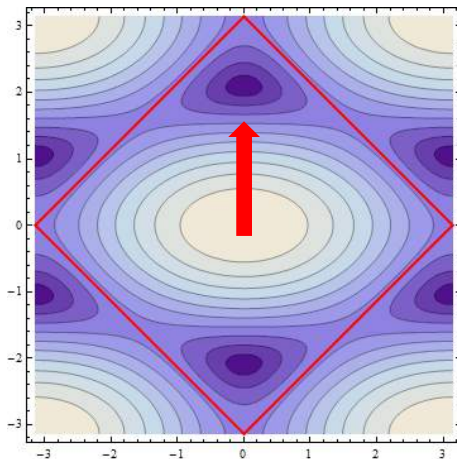
Landau-Zener probability : $P_Z = e^{-2\pi\delta}$

$$\delta = \frac{\text{gap}^2}{\hbar \cdot \text{velocity} \cdot \text{force}}$$

Single cone case



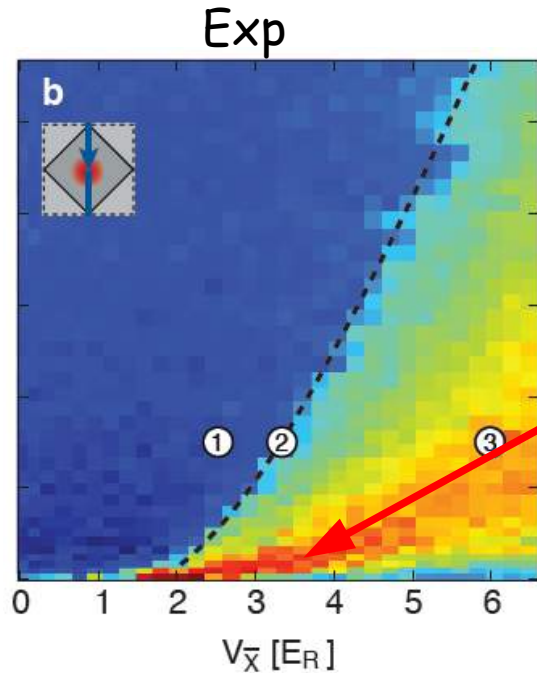
Double cone case



$$P_{\uparrow} = 2 P_Z (1 - P_Z)$$

Double Dirac cone case : $P_{\dagger} = \langle 2 P_Z (1 - P_Z) \rangle$

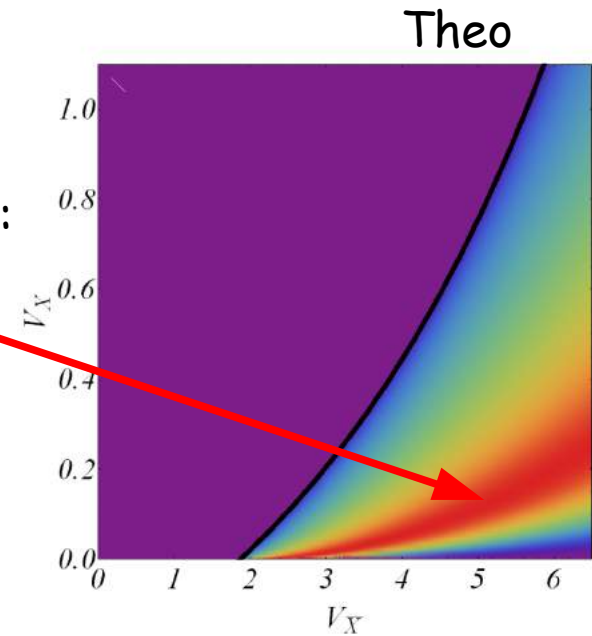
Incoherent « interferometer »



Line of maximum:

$$P_Z = 1/2$$

$$P_{\dagger} = 1/2$$



Bloch oscillations + Landau-Zener tunneling + band mapping technique can tell whether Dirac points are present/absent

Stückelberg interferometer

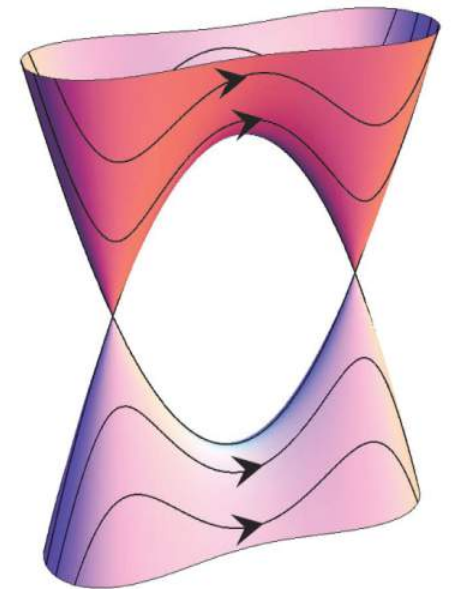
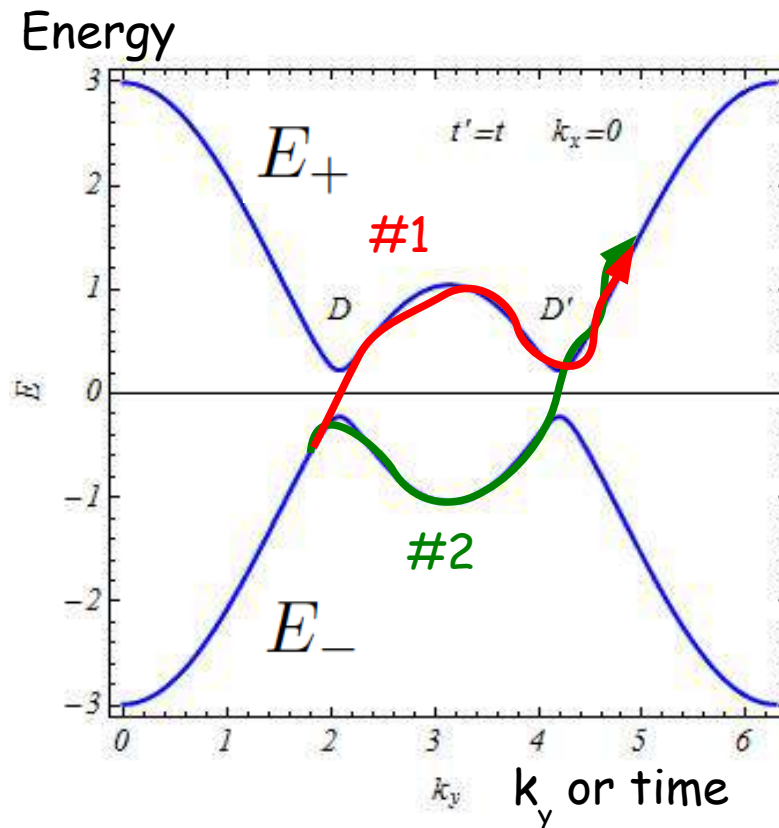
Two paths interferometer in energy space

Avoided crossings (gapped Dirac cones) act as beam splitters

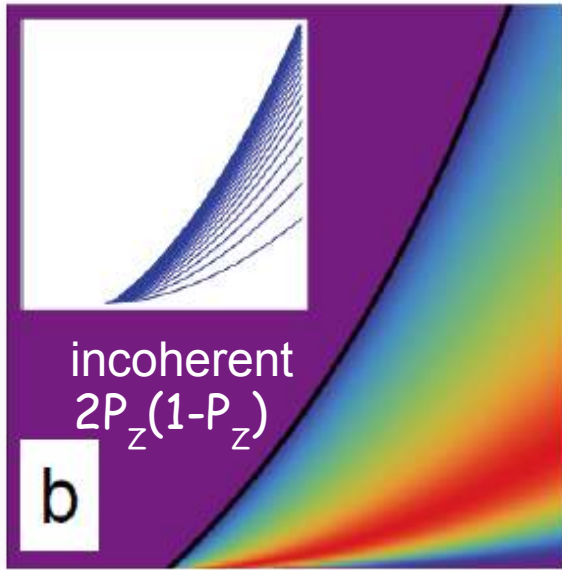
Dynamical phase is different for the two paths



Stückelberg 1932



Coherent double Dirac cone



Combining probability **amplitudes** gives:

$$P_t = 4P_Z(1 - P_Z) \sin^2\left(\frac{\varphi_{\text{dyn.}}}{2} + \varphi_{\text{St.}}\right)$$

With the dynamical phase difference

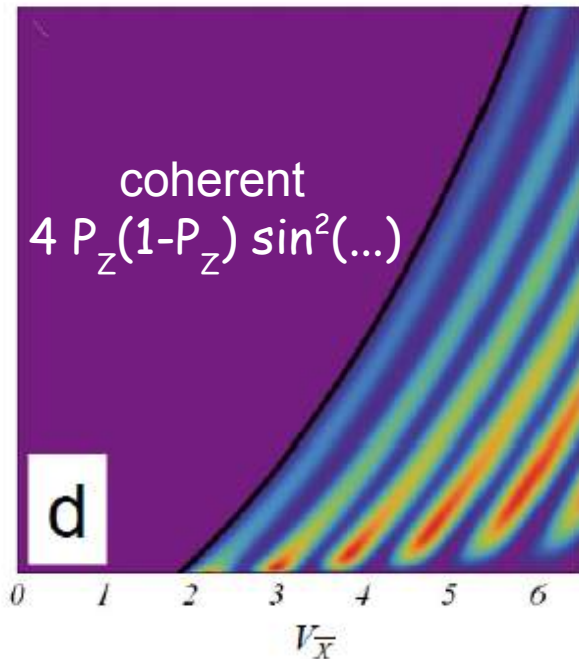
$$\varphi_{\text{dyn.}} = \frac{1}{\hbar} \int_{-t_0}^{t_0} dt (E_+(t) - E_-(t))$$

and the Stokes' phase (acquired upon reflection on the « beam splitter »)

$$\varphi_{\text{St.}} = \frac{\pi}{4} + \delta(\ln \delta - 1) + \arg \Gamma(1 - i\delta)$$

Stückelberg interferences give access to the energy spectrum via the dynamical phase.

Can we probe the eigenstates as well ?

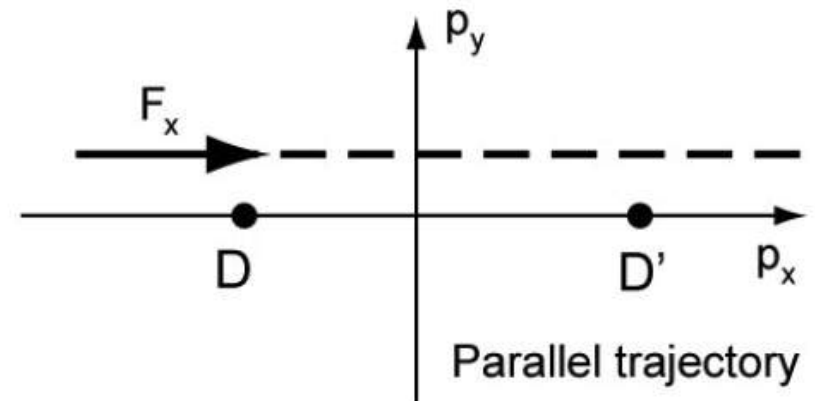
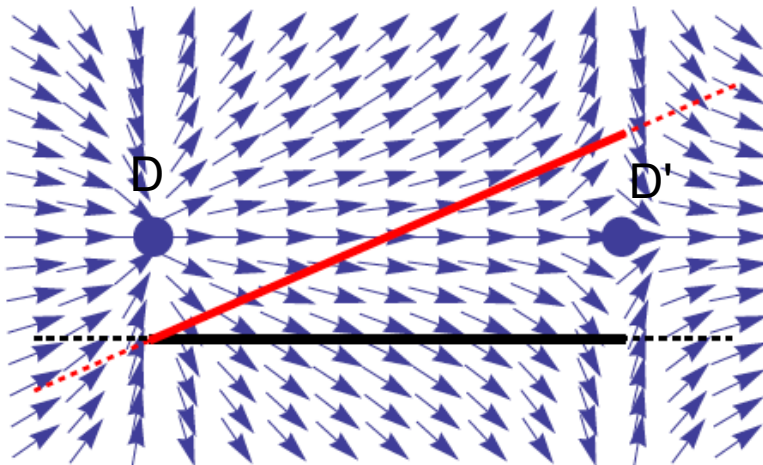


Phase of the Stückelberg interferometer

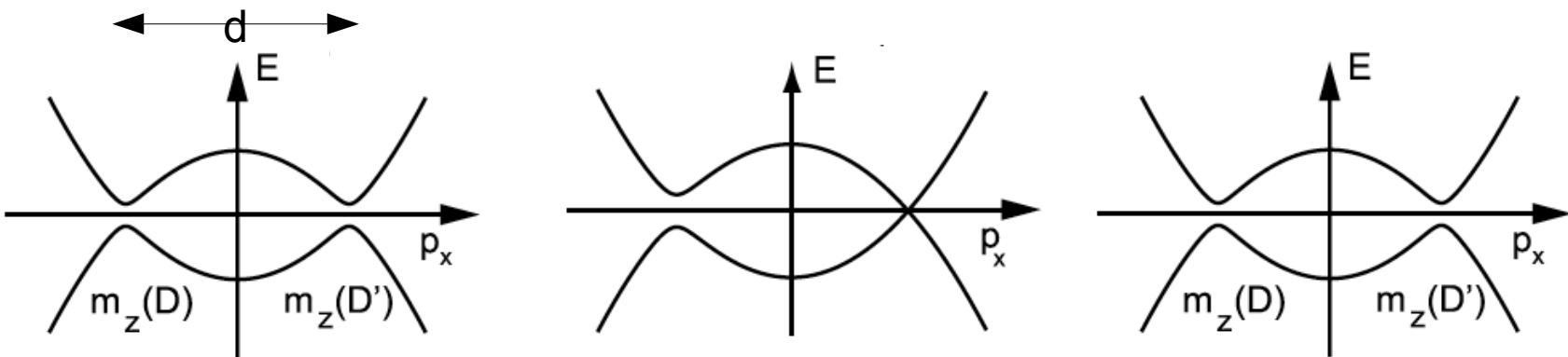
$$P_t = 4P_Z(1 - P_Z) \sin^2\left(\frac{\varphi_{\text{dyn.}} + \varphi_g}{2} + \varphi_{\text{St.}}\right)$$

- 1) Dynamical phase
- 2) Stokes phase
- 3) Extra contribution : **geometrical phase** (probes eigenstates), open-path Berry phase involving two bands

$$\varphi_g = \int_{-t_0}^{t_0} dt (\langle \psi_- | i\partial_t | \psi_- \rangle - \langle \psi_+ | i\partial_t | \psi_+ \rangle) \\ + \arg \langle \psi_-(-t_0) | \psi_-(t_0) \rangle - \arg \langle \psi_+(-t_0) | \psi_+(t_0) \rangle$$



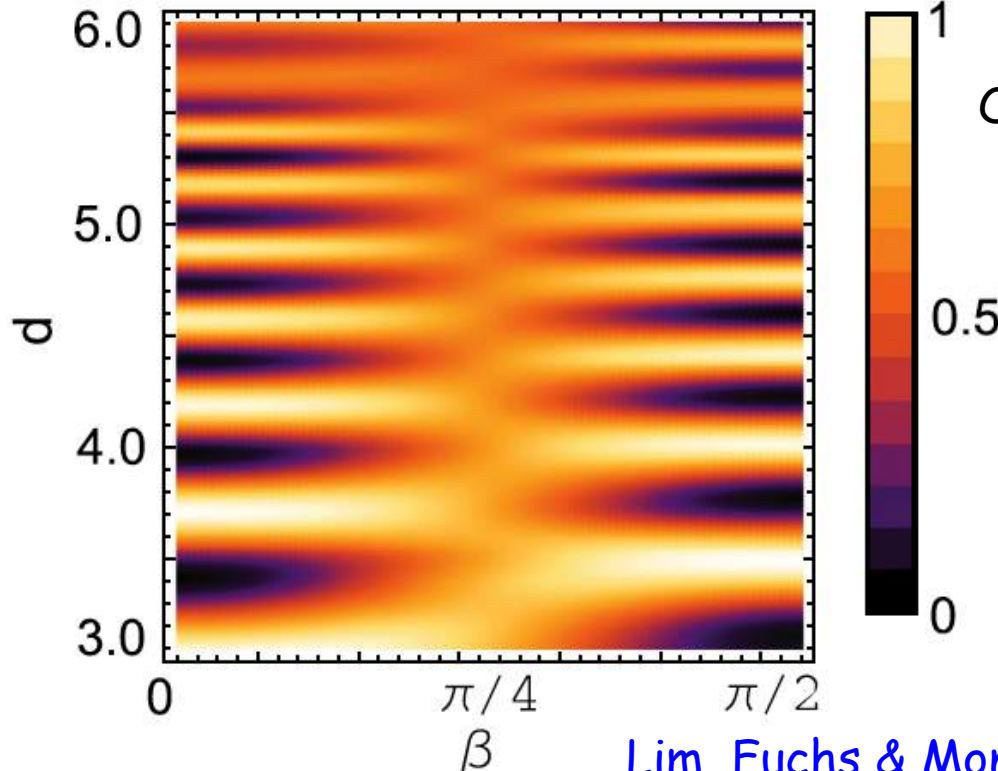
Stückelberg interferences for topol. insulators



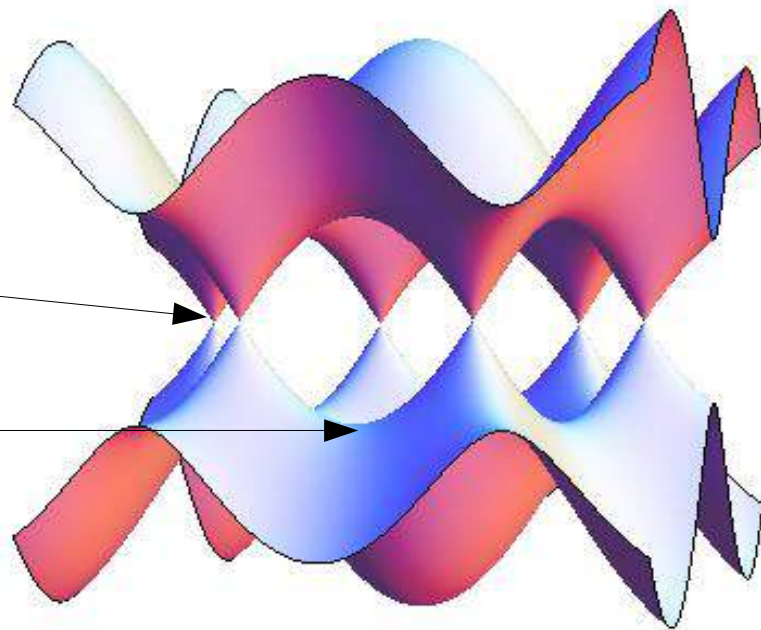
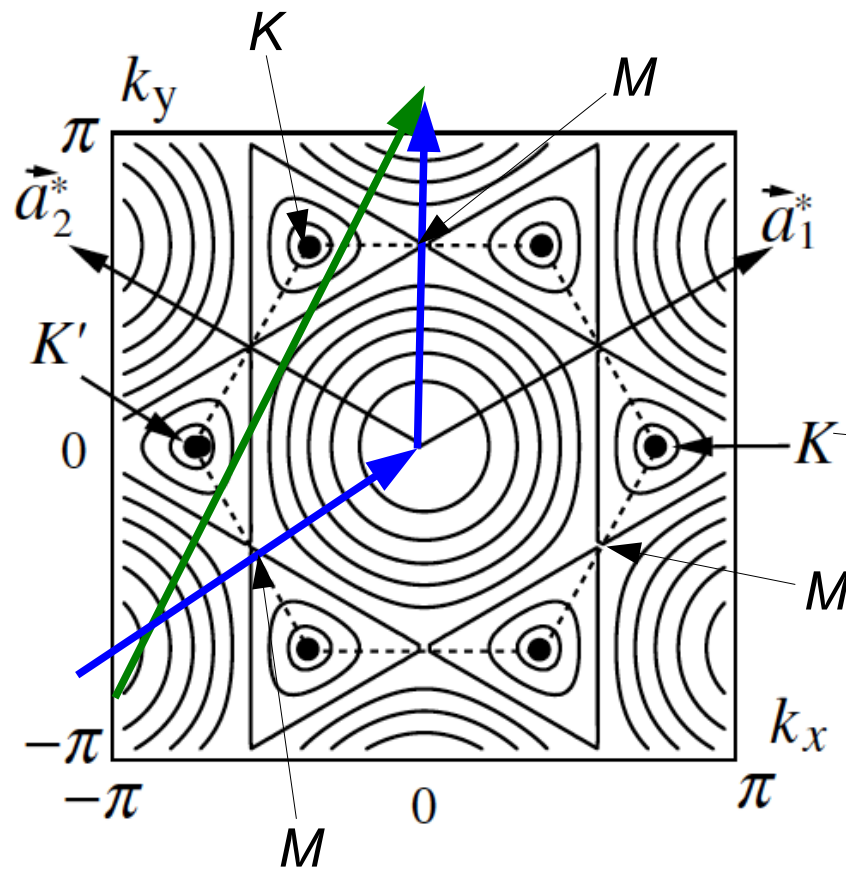
Trivial Insulator
Same mass
($\beta=0$)

Singular semi-metal ($\beta=\pi/4$)

Topological Insulator
Opposite mass
($\beta=\pi/2$)



Double K point **versus** double M point Interferometer with finite bandwidth

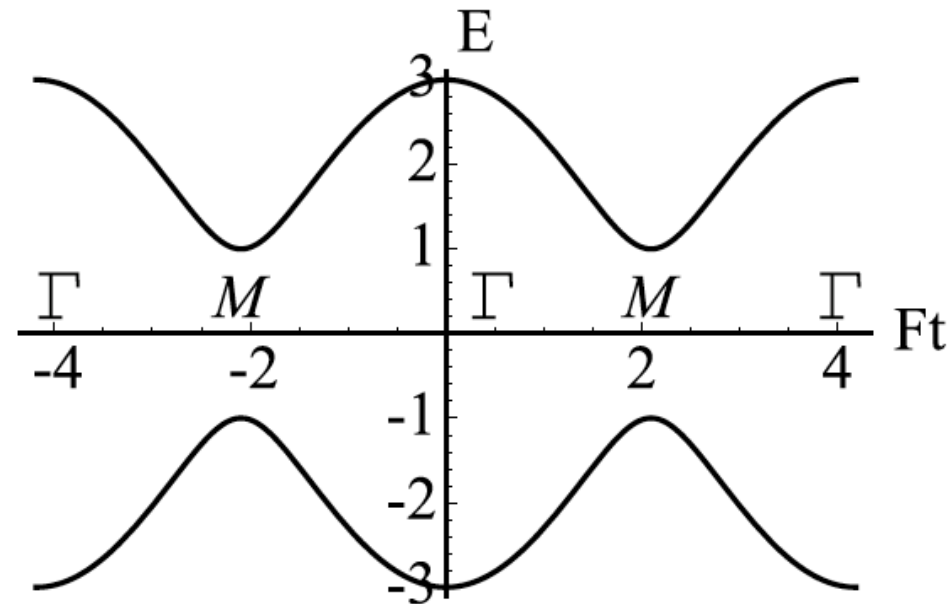
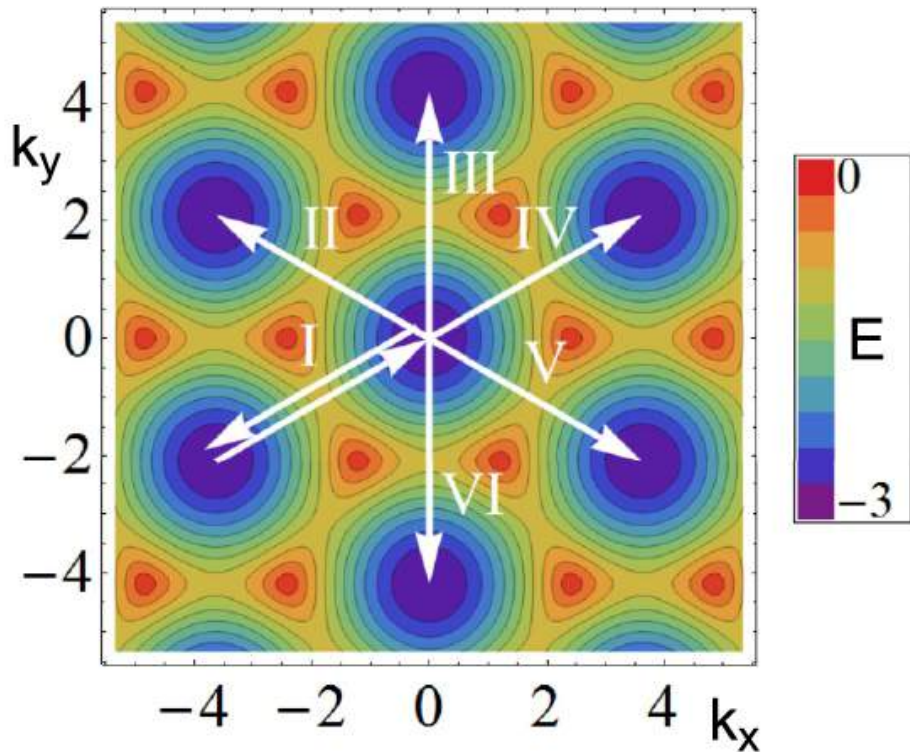


K points : Dirac points
 Linearly vanishing DoS
 Gapless
 Vicinity of K points : small « lateral » gap
 Zürich exp. with fermions

M points : saddle points
 Van Hove singularity in DoS
 Large « saddle point » gap
 Munich exp. with bosons

Ambulance cross :

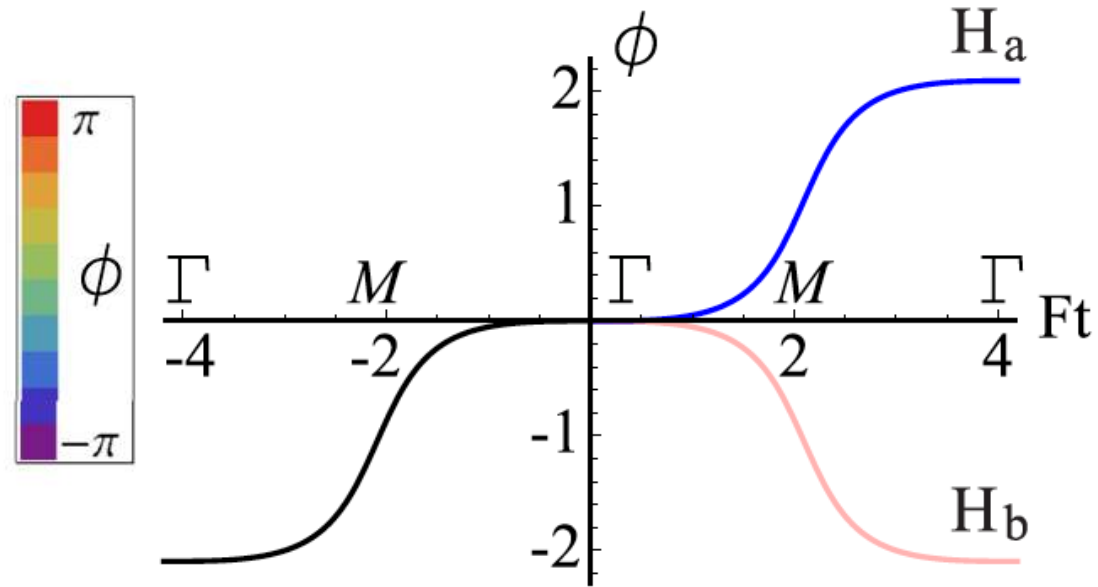
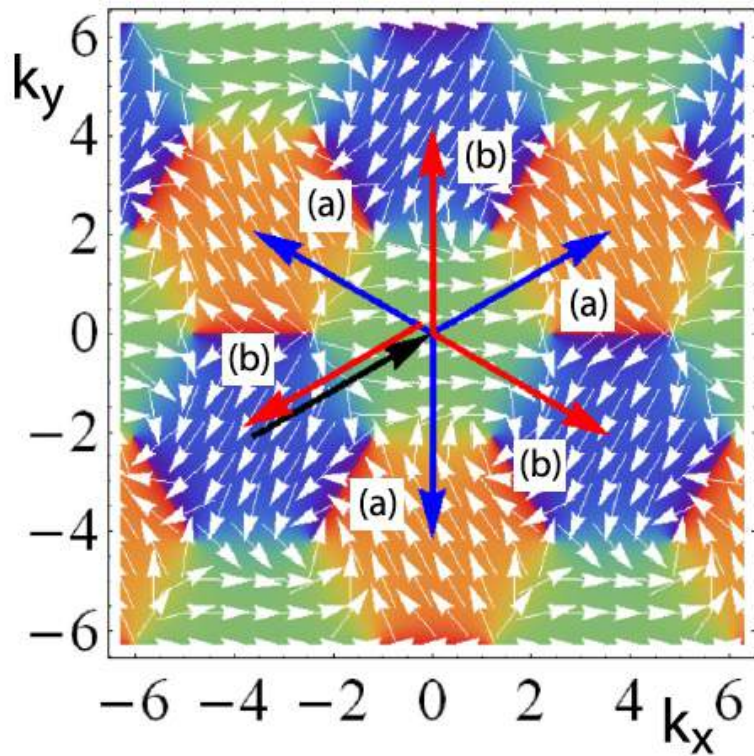
Six trajectories & one energy landscape



Units s.t. hopping amplitude $J = 1$, NN distance $a = 1$, \hbar



Ambulance cross : Six trajectories & two phase landscapes

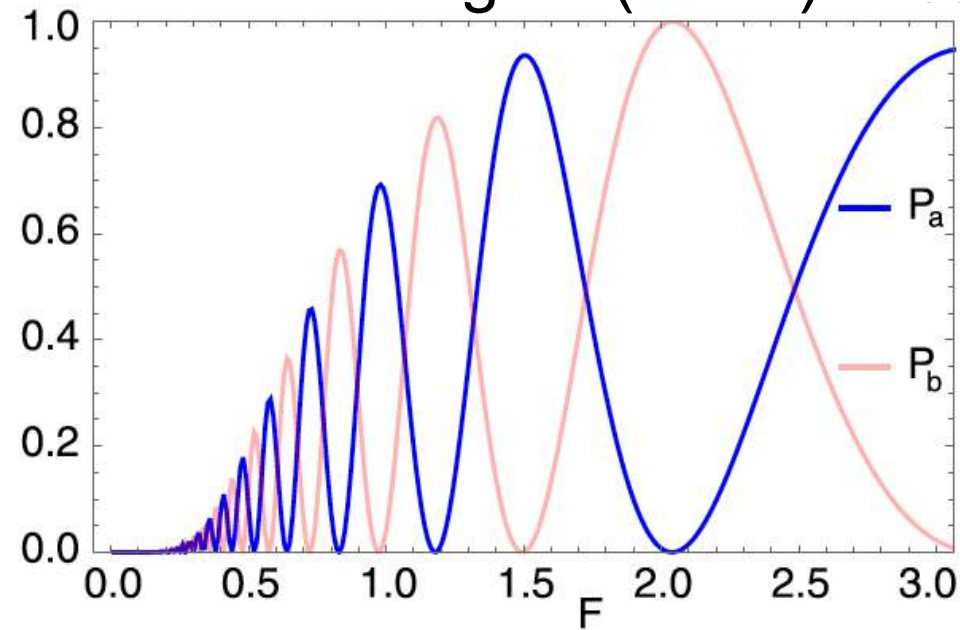


Units s.t. hopping amplitude $J = 1$, NN distance $a = 1$, \hbar

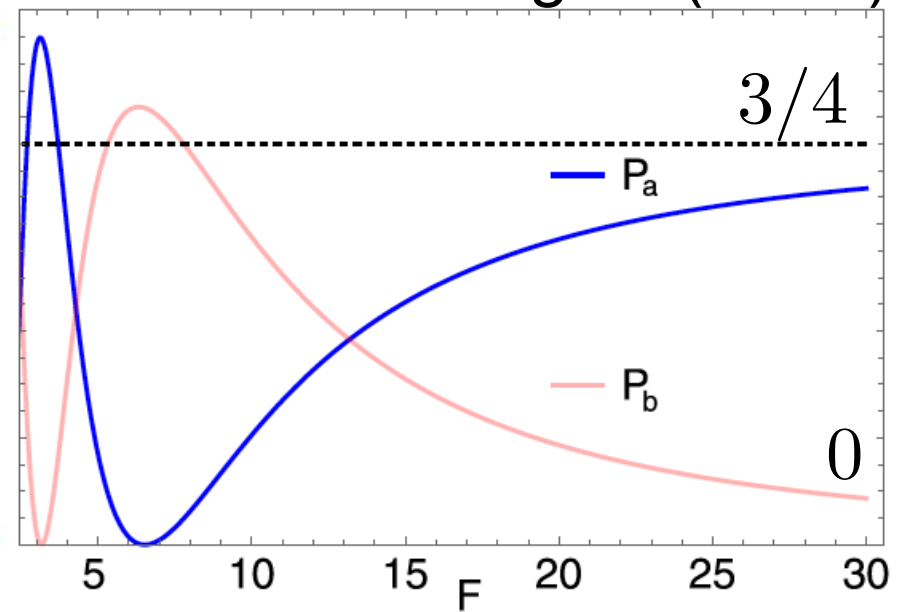


Ambulance cross : Two \neq Stückelberg interferometers

Adiabatic region ($F \ll 1$)



Sudden region ($F \gg 1$)



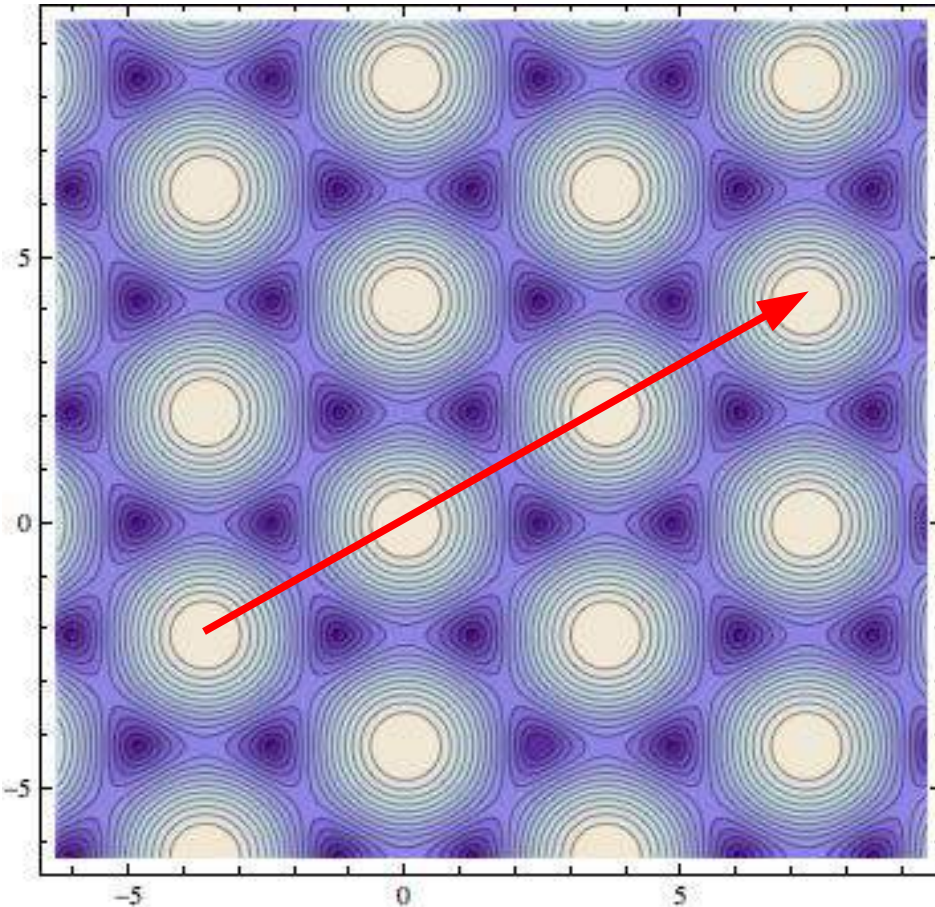
- Geometric π phase shift at any force F [in units of J/a] phase opposition
- Saturation of proba to 0 or $3/4$ in sudden limit
→ reveals extended periodicity beyond 1st BZ

Fast and straight trajectory from Γ to Γ

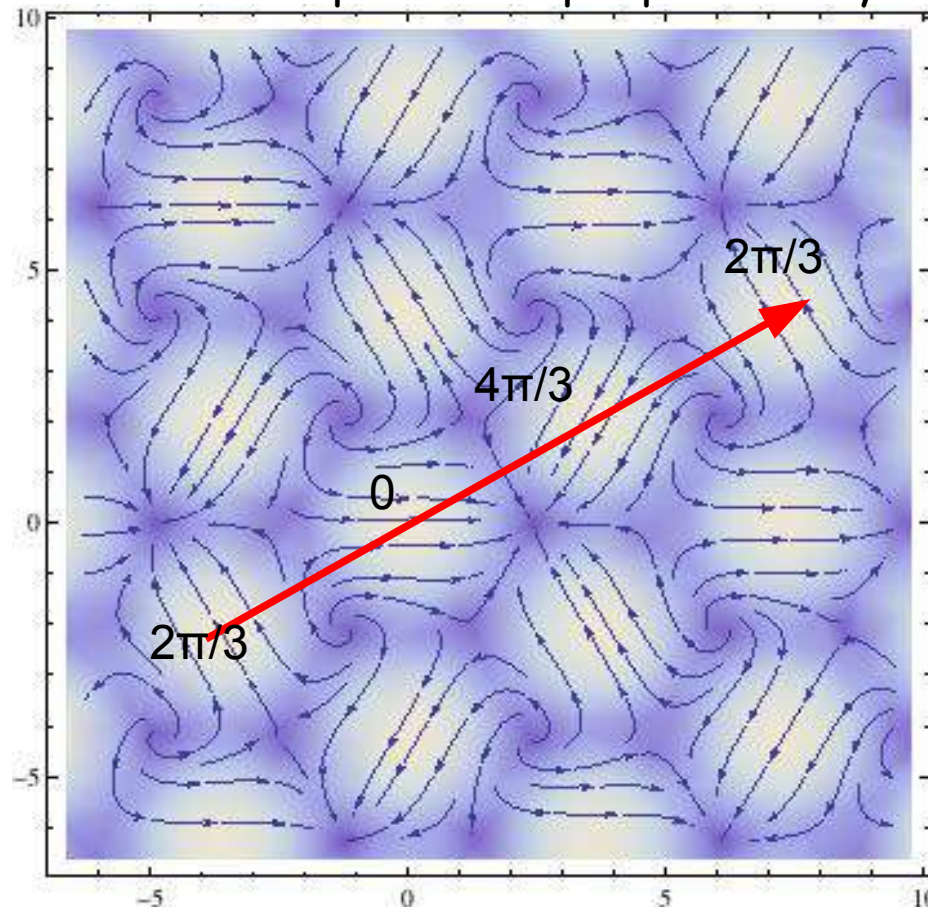
Tripled periodicity $H(k)$?

Interband transition proba sequence :
 $3/4, 3/4, 0, 3/4, 3/4, 0, \text{etc ?}$

Iso-energy curves : periodic with BZ



Azimuthal phase : triple periodicity



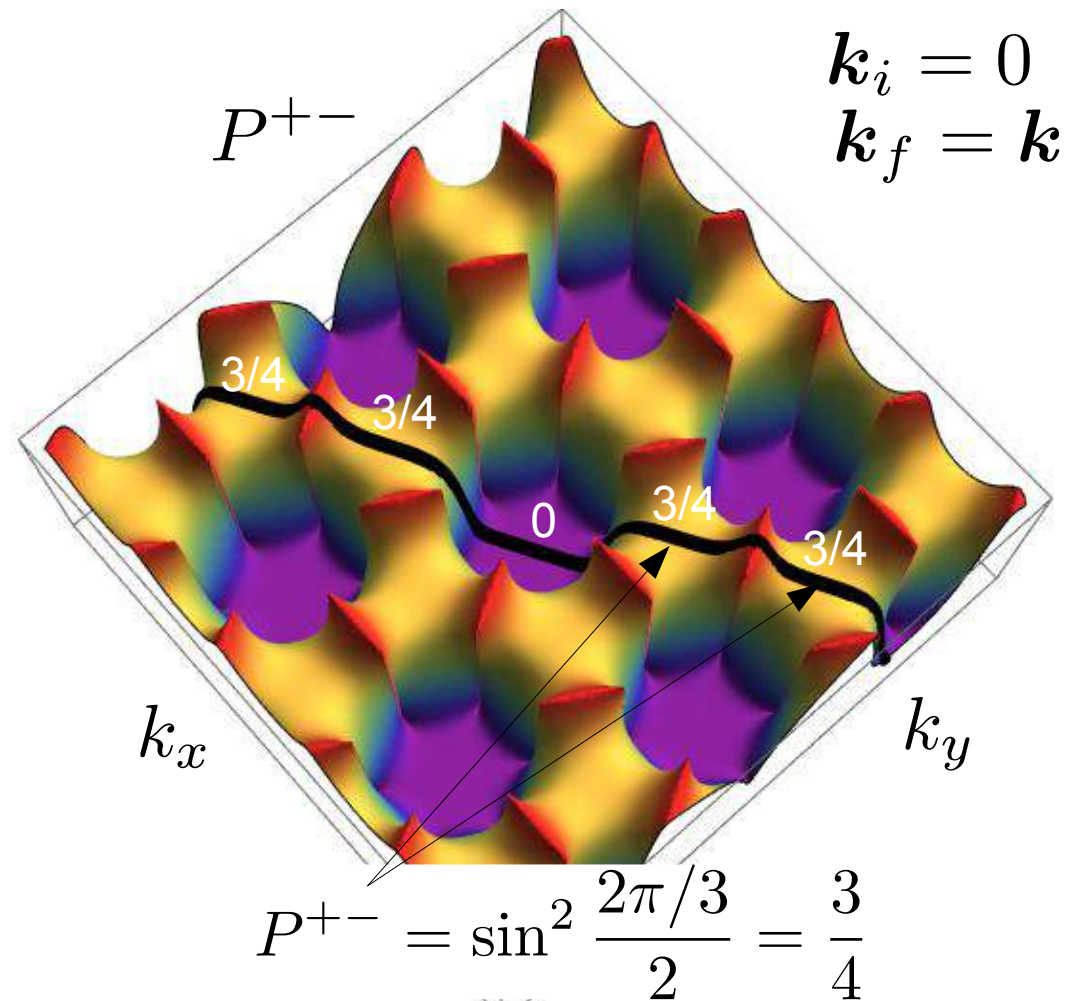
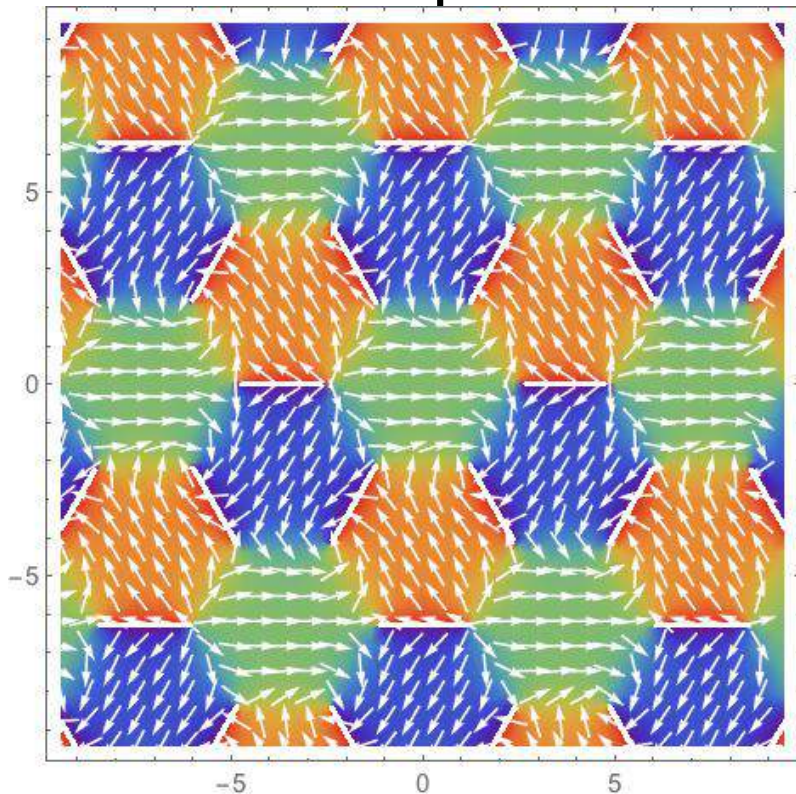
Sudden approximation : overlap matrix

Interband transition probability

$$P^{+-}(t_f) = |\langle u_+(\mathbf{F}t_f) | u_-(\mathbf{F}t_i) \rangle|^2 = \sin^2 \frac{\Delta\phi}{2}$$

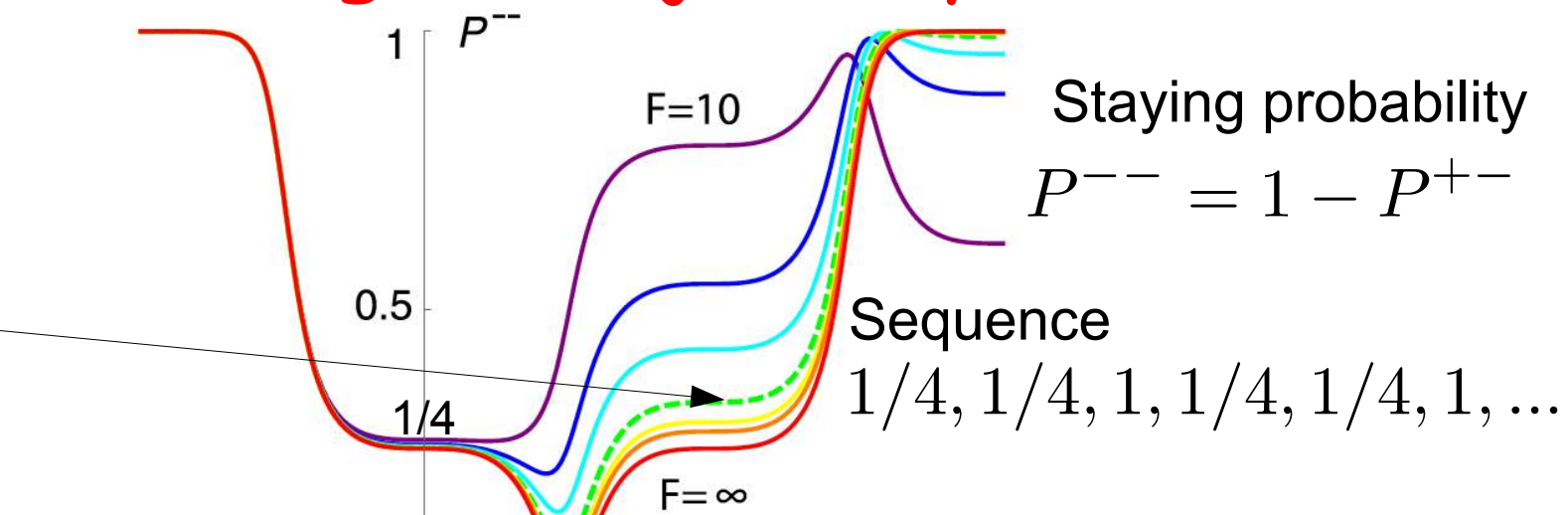
$$\Delta\phi = \phi_f - \phi_i$$

Phase pattern

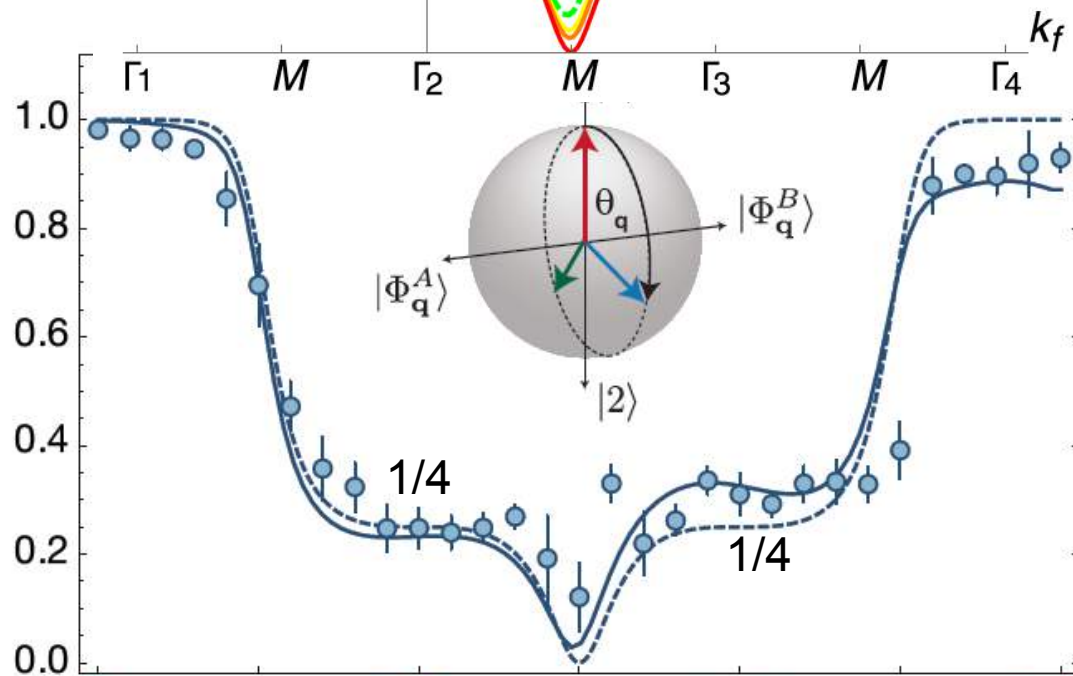


Fast and straight trajectory from Γ to Γ

Theory :
($F=30$
dashed
green)



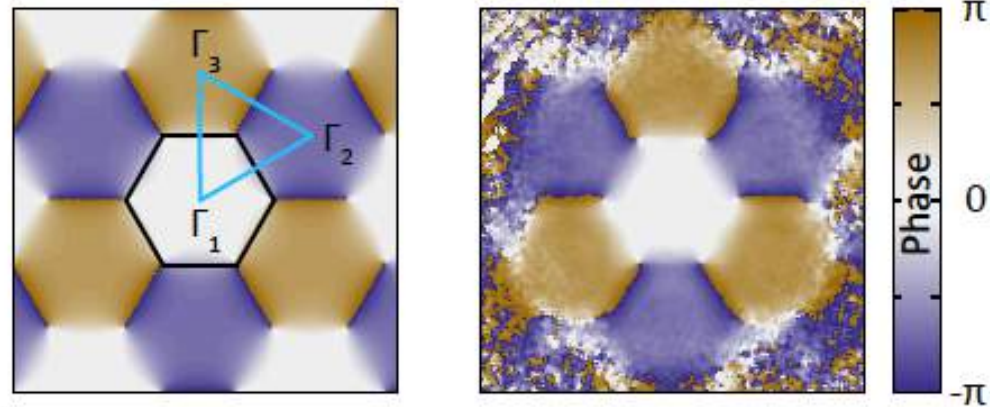
Exp :
($F=30$)



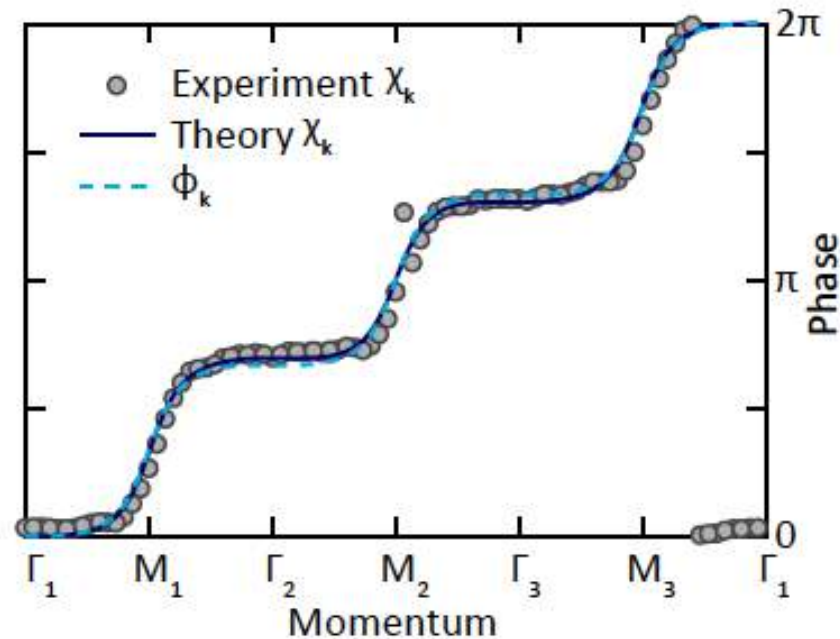
Exp : Li, ..., Schneider, Science 2016

Theo : Lim, Fuchs, Montambaux, PRA 2015

Tripled periodicity of relative phase measured in honeycomb lattice

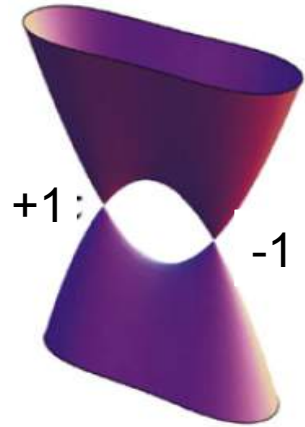


(c)

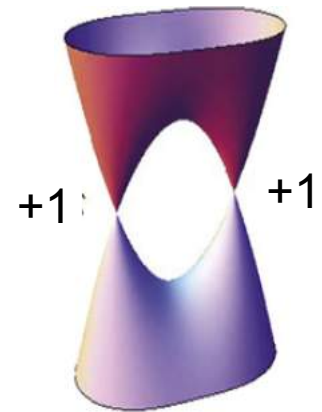
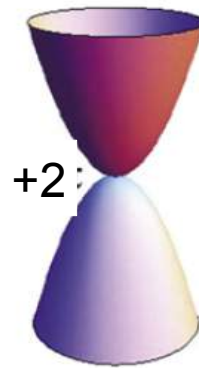
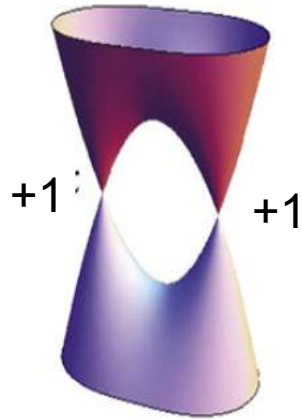


Conclusion : Two Dirac points

+ - scenario
(semi-Dirac)

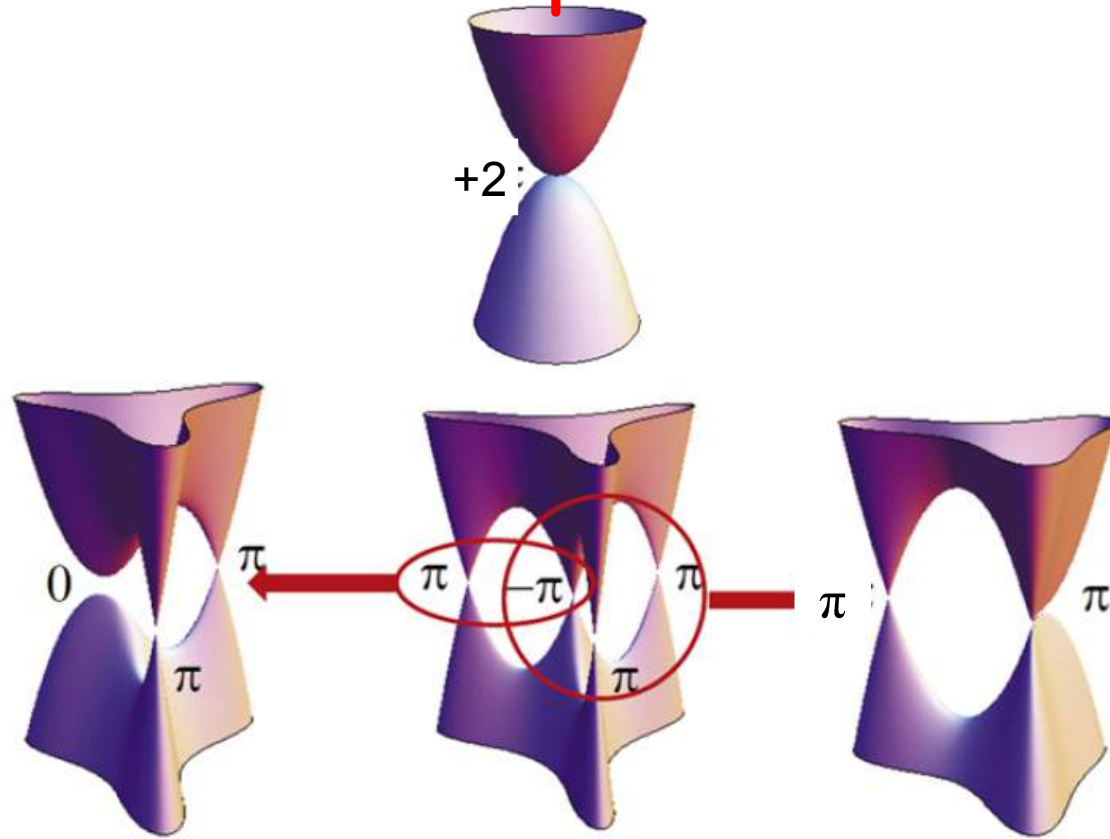


++ scenario
(nematic
instability
 C_4 or $C_6 \rightarrow C_2$
QBCP $W=+2$)



Four Dirac points

+++ - scenario
 ($C_6 \rightarrow C_3$ instability
 QBCP $W=+2$)



++-- scenario
 (QBCP $W=0$)

